

# Existence result for stationary compressible fluids and asymptotic behavior in thin films

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## Abstract

In this paper, we are first interested in the compressible Navier-Stokes equations with density-dependent viscosities in bounded domains with non-homogeneous Dirichlet conditions. We study the wellposedness of such models with non-constant coefficients in non-stationary and stationary cases. We apply the last result in thin domains context, justifying the compressible Reynolds equations.

**Keywords:** Navier-Stokes equations, compressible fluids, density dependent viscosities, stationary, steady-state, thin films, lubrication, Reynolds equation

**AMS subject classification:** 35Q30, 76A20, 76D05, 76D08, 76N10

## Introduction

The Reynolds equation is a linear equation describing the evolution of the pressure in mechanisms of lubrication. More precisely, it is used to calculate the pressure distribution in a thin layer of lubricant between two surfaces. It was proposed by O. Reynolds in 1886, see [23]. This equation is very much used in mechanics, for instance to describe the process of lubrication of magnetic hard discs. In the same way, air flow between the two surfaces constituting a rigid disk assembly (flying head and magnetic storage surface) is frequently modeled using the Reynolds equation.

It was proven, first in 1986 by G. Bayada and M. Chambat, see [2], that the Reynolds equation is an approximation of the Stokes equations in thin cases. This proof was formulated by taking as initial equations the incompressible Stokes model. Since, many other works (see [21] and the cited references) has made it possible to refine the first result by giving errors of the approximations between the Stokes model and the Reynolds incompressible model.

In the previous examples of applications the fluids (like air) are clearly compressible fluids. Within the framework of the compressible fluids, there exist a so called compressible Reynolds equation which is, at least formally, the asymptotic of the Navier-Stokes compressible equations in a thin domain. Contrary to the incompressible classical Reynolds equation, the compressible Reynolds equation is highly nonlinear and has been a subject of many mechanical studies [3, 12] or of numerical studies [1, 11].

However the literature about the rigorous justification of these equations in the compressible case is not very important. It would seem that there is only one result, due to E. Marusic-Paloka and M. Starcevic (see [18] or more recently [19]), restricted to the case of ideal gases. The primary reason of this lack of literature certainly comes from the fact that the study of the compressible Navier-Stokes equations is rather difficult. Recent works of D. Bresch and B. Desjardins on these compressible Navier-Stokes equations, see [7] for instance, showed that there exists a particular structure to these equations.

In this article, we adapt these new results to use them and rigorously justify the compressible Reynolds equation for rather general state laws.

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More precisely, we prove the two following results (precise statements are respectively given on page 5 and page 17):

**Theorem 0.1** *There exists a steady-state solution to the compressible Navier-Stokes equations in a bounded domain, with Dirichlet boundary conditions.*

**Theorem 0.2** *The compressible Reynolds equation is an approximation of the stationary compressible Navier-Stokes equations.*

The present paper is composed of the following parts:

- In the first section, we present the notations and the classical compressible Navier-Stokes equations. We give the assumptions as well as the theorems related to the compressible Navier-Stokes equations (in the non-stationary case and in the stationary case).
- Section 2 is devoted to the proof of the existence result for the compressible Navier-Stokes equations in the non stationary case.
- Section 3 is devoted to the proof of the existence result for the compressible Navier-Stokes equations in the stationary case.
- In Section 4, we introduce the lubrication problem in term of thin film flow. We also announce the convergence result for the stationary compressible Navier-Stokes equations to the compressible Reynolds equation.
- Section 5 is devoted to the proof of this convergence result.

## 1 Wellposedness of compressible Navier-Stokes equations with density-dependent viscosities

### 1.1 Statement of the problem

**Compressible Navier-Stokes equations:** The compressible Navier-Stokes equations describe the evolution of a compressible fluid in a physical domain  $\Omega \subset \mathbb{R}^d$ ,  $d \in \{2, 3\}$ , via the conservation equations of the mass and the momentum. They thus couple the velocity  $\mathbf{u}$  of the fluid and its density  $\rho$ :

$$\begin{cases} \partial_t \rho + \operatorname{div}(\rho \mathbf{u}) = 0, \\ \partial_t(\rho \mathbf{u}) + \operatorname{div}(\rho \mathbf{u} \otimes \mathbf{u}) = \operatorname{div}(\boldsymbol{\sigma}) + \mathbf{f}. \end{cases}$$

To close this system, we must give the force term  $\mathbf{f}$  and the stress tensor  $\boldsymbol{\sigma}$ .

- \* The force term  $\mathbf{f}$  allows to represent friction forces or corresponds to a turbulent drag force. They read

$$\mathbf{f} = -r_0 \rho |\mathbf{u}| \mathbf{u},$$

where  $r_0$  is a non negative real coefficient.

- \* Finally, we give the rheological law for the stress tensor  $\boldsymbol{\sigma}$ : the fluid is assumed to be Newtonian, so that there exists two viscosity coefficients (called Lamé coefficients)  $\mu = \mu(\rho)$  and  $\lambda = \lambda(\rho)$  such that

$$\boldsymbol{\sigma} = 2\mu D(\mathbf{u}) + (\lambda \operatorname{div}(\mathbf{u}) - p) \operatorname{Id}.$$

In this equation,  $D(\mathbf{u})$  corresponds to the strain tensor (the symmetric part of the velocity gradient tensor), and the pressure  $p$  is determined using a thermodynamic closure law, i.e. an explicit relation  $p = p(\rho)$ .

The equations in which we will be interested are thus:

$$\partial_t \rho + \operatorname{div}(\rho \mathbf{u}) = 0, \quad (1)$$

$$\partial_t(\rho \mathbf{u}) + \operatorname{div}(\rho \mathbf{u} \otimes \mathbf{u}) + \nabla p(\rho) = \operatorname{div}(2\mu(\rho)D(\mathbf{u})) + \nabla(\lambda(\rho)\operatorname{div}(\mathbf{u})) - r_0\rho|\mathbf{u}|\mathbf{u}, \quad (2)$$

and also the stationary corresponding ones:

$$\operatorname{div}(\rho \mathbf{u}) = 0, \quad (3)$$

$$\operatorname{div}(\rho \mathbf{u} \otimes \mathbf{u}) + \nabla p(\rho) = \operatorname{div}(2\mu(\rho)D(\mathbf{u})) + \nabla(\lambda(\rho)\operatorname{div}(\mathbf{u})) - r_0\rho|\mathbf{u}|\mathbf{u}. \quad (4)$$

**Boundary conditions:** The physical boundary conditions which interest us here (see part 4) are of the nonhomogeneous Dirichlet type on the velocity field. For technical reasons, we will also need to impose a condition on the density on the boundary. The conditions will thus be the following ones:

$$\begin{aligned} \mathbf{u} &= \mathbf{u}_b && \text{a given function on } \partial\Omega \text{ such that } \mathbf{u}_b \cdot \mathbf{n} = 0, \\ \rho &= \rho_b && \text{constant on each connected component of } \partial\Omega. \end{aligned} \quad (5)$$

The biggest part of the published works concerns the whole space case  $\Omega = \mathbb{R}^3$ , or the periodic case  $\Omega = \mathbb{T}^3$  (see for instance [7, 9]). More recently in [8], the authors deals with the Dirichlet homogeneous condition or Navier's condition on the velocity field. The building that we present here draws hard inspiration from this last paper. Particularly, the boundary condition on  $\rho$ , being already present in [8], it is not amazing to find it in a more general case.

**Initial conditions:** In the non-stationary case, it is necessary to give the initial conditions corresponding to the situation at time  $t = 0$ . The physical quantities for which we give information are the density and the momentum:

$$\rho|_{t=0} = \rho_0 \quad \text{and} \quad \rho \mathbf{u}|_{t=0} = \mathbf{m}_0. \quad (6)$$

We note that, due to the non-penetration condition  $\mathbf{u}_b \cdot \mathbf{n} = 0$ , integrating with respect to the spatial variable the mass conservation equation (1) we obtain

$$\frac{d}{dt} \left( \int_{\Omega} \rho \right) = 0.$$

Consequently, the quantity  $\int_{\Omega} \rho$  does not depend on time and will be denoted  $M_0$ .

This system (1)-(2) has been widely studied, starting from the case of constant coefficients  $\lambda$ ,  $\mu$  and pressure laws of type  $p(\rho) = a\rho^\gamma$  (see notably [13, 14, 15, 16, 22]). More recently, many studies have focused on density dependent viscosity coefficients  $\lambda = \lambda(\rho)$ ,  $\mu = \mu(\rho)$  in space dimensions 2 or 3. These studies were originally developed on Korteweg and shallow water models, corresponding to  $\gamma = 2$ ,  $\lambda(\rho) = 0$  and  $\mu(\rho) = \rho$ , see [4, 5, 7, 8, 9]. They all rely on a new mathematical entropy (the BD entropy), that has been discovered in its general form in [7]. It requires that the following algebraic relation holds:

$$\forall s > 0, \quad \lambda(s) = 2(s\mu'(s) - \mu(s)).$$

We introduce in the next part, the hypotheses which we shall use later. Obviously, these hypotheses take back principally those of papers named here.

## 1.2 Assumptions

Concerning the viscosity coefficients  $\lambda$  and  $\mu$ , we assume that  $\lambda$  and  $\mu$  are respectively  $C^0(\mathbb{R}_+)$  and  $C^1(\mathbb{R}_+)$  and satisfy

$$\forall s > 0, \quad \lambda(s) = 2(s\mu'(s) - \mu(s)). \quad (7)$$

We also suppose that  $\mu(0) = 0$ , that there exists positive constants  $c_0, c_1, c'_0, c'_1, A, m > 1$  and  $\frac{2}{3} < n < 1$  such that

$$\forall s \in ]0, A[, \quad c_0 s^n \leq \mu(s) \leq \frac{1}{c_0} s^n, \quad c'_0 s^{n-1} \leq \mu'(s) \leq \frac{1}{c'_0} s^{n-1}, \quad (8)$$

$$\forall s \in ]A, +\infty[, \quad c_1 s^m \leq \mu(s) \leq \frac{1}{c_1} s^m, \quad c'_1 s^{m-1} \leq \mu'(s) \leq \frac{1}{c'_1} s^{m-1}. \quad (9)$$

Finally, we are interested in a pressure term of the following form

$$p(\rho) = p_h(\rho) + p_c(\rho), \quad (10)$$

where  $p_h(\rho) = a\rho^\gamma$  ( $a > 0$  and  $\gamma \geq 1$ ) corresponds to the classical equation of state whereas  $p_c(\rho)$  is a "cold" component. We assume that there exists positive constants  $c_2, c_3, \rho_*, \beta$  and  $\alpha \geq 1$  such that

$$\forall \rho \in ]0, \rho_*[, \quad \frac{1}{c_2 \rho^{\alpha+1}} \leq p'_c(\rho) \leq \frac{c_2}{\rho^{\alpha+1}}, \quad (11)$$

$$\forall \rho \in ]\rho_*, +\infty[, \quad -\frac{a\gamma\rho^{\gamma-1}}{2} \leq p'_c(\rho) \leq c_3 \rho^{\beta-1}. \quad (12)$$

Recall that such assumptions were initially introduced in [7] in the framework of barotropic flows. Moreover, in the three-dimensional case, we impose (in fact in the stationary case result)

$$m < \gamma + n - \frac{1}{3}, \quad \beta \leq 2(\gamma + n - 1), \quad (13)$$

and (to control the "thin domain"-dependency)

$$m < \alpha - n + \frac{7}{3}. \quad (14)$$

### 1.3 Existence results

**Definition 1.1** *We shall say that  $(\rho, \mathbf{u})$  is a weak solution of (1)–(2) with boundary conditions (5) if it satisfies following regularity properties*

$$\begin{aligned} \rho &\in L^\infty(0, T; L^\gamma(\Omega)), \quad \sqrt{\rho}\mathbf{u} \in L^\infty(0, T; L^2(\Omega)), \quad \sqrt{\rho}\nabla\varphi(\rho) \in L^\infty(0, T; L^2(\Omega)), \\ \sqrt{\mu(\rho)}\nabla\mathbf{u} &\in L^2((0, T) \times \Omega), \quad \rho\mathbf{u}^3 \in L^1((0, T) \times \Omega), \\ \nabla\rho^{\frac{\gamma+n-1}{2}} &\in L^2(0, T; H^1(\Omega)), \quad \nabla\xi(\rho)^{\frac{n-\alpha-1}{2}} \in L^2(0, T; H^1(\Omega)), \end{aligned}$$

where  $\xi$  being taken such that  $\xi(\rho) = \rho$  for  $\rho \leq \rho_*/2$  and  $\xi(\rho) = 0$  for  $\rho \geq \rho_*$ , as well as boundary Dirichlet conditions on  $\mathbf{u}$  in  $L^2(0, T; L^1(\partial\Omega))$ , boundary conditions on  $\rho$  in  $L^2(0, T; L^\infty(\partial\Omega))$ , and equations (1)–(2) in  $\mathcal{D}'((0, T) \times \Omega)$  for all  $T > 0$ .

As usual, we deduce from these regularities and the Navier-Stokes system itself that  $\rho$  and  $\mathbf{u}$  are continuous in time with values in  $W^{-1,1}(\Omega)$ , which allows to define their initial values.

**Theorem 1.2 (Non-stationary case)** *Assume that conditions (7)–(12) are satisfied and consider some functions  $\rho_0$  and  $\mathbf{m}_0$  such that*

$$\frac{\mathbf{m}_0^2}{\rho_0} \in L^1(\Omega), \quad \frac{|\nabla\mu(\rho_0)|^2}{\rho_0} \in L^1(\Omega), \quad Q(\rho_0) \in L^1(\Omega),$$

where  $xQ''(x) := p(x)$ .

Then, for all  $r_0 \in \mathbb{R}^+$ , there exists a weak solution of the system

$$\begin{aligned} \partial_t\rho + \operatorname{div}(\rho\mathbf{u}) &= 0, \\ \partial_t(\rho\mathbf{u}) + \operatorname{div}(\rho\mathbf{u} \otimes \mathbf{u}) + \nabla p(\rho) &= \operatorname{div}(2\mu(\rho)D(\mathbf{u})) + \nabla(\lambda(\rho)\operatorname{div}(\mathbf{u})) - r_0\rho|\mathbf{u}|\mathbf{u}, \end{aligned}$$

associated with the initial conditions (6), in the sense of the Definition 1.1.

**Definition 1.3** We shall say that  $(\rho, \mathbf{u})$  is a weak solution of (3)–(4) with boundary conditions (5) if it satisfies following regularity properties

$$\begin{aligned} \sqrt{\mu(\rho)} \nabla \mathbf{u} &\in L^2(\Omega), \quad \rho \mathbf{u}^3 \in L^1(\Omega), \\ \nabla \rho^{\frac{\gamma+n-1}{2}} &\in H^1(\Omega), \quad \nabla \xi(\rho)^{\frac{n-\alpha-1}{2}} \in H^1(\Omega), \end{aligned}$$

where  $\xi$  being taken such that  $\xi(\rho) = \rho$  for  $\rho \leq \rho_*/2$  and  $\xi(\rho) = 0$  for  $\rho \geq \rho_*$ , as well as boundary Dirichlet conditions on  $\mathbf{u}$  in  $L^1(\partial\Omega)$ , boundary conditions on  $\rho$  in  $L^\infty(\partial\Omega)$ , and equations (3)–(4) in  $\mathcal{D}'(\Omega)$ .

**Theorem 1.4 (Stationary case)** Assume that conditions (7)–(13) are satisfied.

Then, for all  $r_0 \in \mathbb{R}_*^+$ , there exists a weak solution of the stationary equations

$$\begin{aligned} \operatorname{div}(\rho \mathbf{u}) &= 0, \\ \operatorname{div}(\rho \mathbf{u} \otimes \mathbf{u}) + \nabla p(\rho) &= \operatorname{div}(2\mu(\rho)D(\mathbf{u})) + \nabla(\lambda(\rho)\operatorname{div}(\mathbf{u})) - r_0\rho|\mathbf{u}|\mathbf{u}, \end{aligned}$$

in the sense of the Definition 1.3.

## 2 Proof of Theorem 1.2 (non-stationary case)

The main idea is to obtain good energy estimates, notably using the BD entropy, structure discovered by D. Bresch and B. Desjardins in [4]. This will provide enough compactness on a sequence of approximate solutions to pass to the limit and obtain a global weak solution.

More precisely, the first step is to obtain suitable a priori bounds on  $(\rho, \mathbf{u})$ , and next to consider sequences  $(\rho_k, \mathbf{u}_k)$  of uniformly bounded weak solutions constructed from an adapted approximation process. Such sequences may be built by using the regularization scheme given in Section 2.3 (see also [6]). It leads to regular approximate solutions, still preserving physical bounds and the mathematical entropy, uniformly with respect to smoothing parameters.

The scheme of the proof will be therefore the following. In Section 2.1, we recall the main idea of the Bresch-Desjardins strategy, we then deduce energy estimate and so called BD estimate (Subsection 2.2). In Subsection 2.3, we give the construction of approximate solutions.

### 2.1 Bresch-Desjardins strategy

The BD entropy is the dedicated idea to get many wellposedness of non-stationary models with non-constant coefficients, for instance the compressible Navier-Stokes equations (see [7]) and it has been recently enlarged to some neighbour contexts like Shallow-Water (see [6]) or Magnetohydrodynamics (see [24]).

The particular point of this strategy is the mixture between the mass equation and the momentum equation to get the control of non-linear diffusive terms with density-dependent coefficients through the BD entropy.

We first multiply (1) by  $\varphi'(\rho) = \frac{\mu'(\rho)}{\rho}$  to get:

$$\partial_t \varphi(\rho) + \mathbf{u} \cdot \nabla \varphi(\rho) + \mu'(\rho) \operatorname{div}(\mathbf{u}) = 0.$$

Then we derive with respect to the space variables:

$$\partial_t \nabla \varphi(\rho) + (\mathbf{u} \cdot \nabla) \nabla \varphi(\rho) + \nabla \mathbf{u} : \nabla \varphi(\rho) + \nabla(\mu'(\rho) \operatorname{div}(\mathbf{u})) = 0.$$

Let's now multiply by  $2\rho$ , then, noting  $\mathbf{U} = 2\nabla \varphi(\rho)$  and using (1), we write:

$$\partial_t(\rho \mathbf{U}) + \operatorname{div}(\rho \mathbf{u} \otimes \mathbf{U}) + 2\nabla \mathbf{u} : \nabla \mu(\rho) + 2\rho \nabla(\mu'(\rho) \operatorname{div}(\mathbf{u})) = 0.$$

Rewriting the last two terms, one has

$$\begin{aligned} 2\nabla\mathbf{u} : \nabla\mu(\rho) &= 2\operatorname{div}(\mu(\rho)\nabla\mathbf{u}) - 2\mu(\rho)\nabla(\operatorname{div}(\mathbf{u})) \\ &= 2\operatorname{div}(\mu(\rho)D(\mathbf{u})) + 2\operatorname{div}(\mu(\rho)A(\mathbf{u})) - 2\nabla(\mu(\rho)\operatorname{div}(\mathbf{u})) + 2\nabla\mu(\rho)\operatorname{div}(\mathbf{u}), \\ 2\rho\nabla(\mu'(\rho)\operatorname{div}(\mathbf{u})) &= 2\nabla(\rho\mu'(\rho)\operatorname{div}(\mathbf{u})) - 2\nabla\mu(\rho)\operatorname{div}(\mathbf{u}), \end{aligned}$$

where we recall that  $D$  is the symmetric part of the gradient, and where  $A$  is the skew symmetric part of the gradient. Then, summing with the momentum equation (2), we get

$$\begin{aligned} \partial_t(\rho(\mathbf{u} + \mathbf{U})) + \operatorname{div}(\rho\mathbf{u} \otimes (\mathbf{u} + \mathbf{U})) + \nabla p(\rho) &= \operatorname{div}(2\mu(\rho)A(\mathbf{u})) - r_0\rho|\mathbf{u}|\mathbf{u} \\ &\quad + \nabla((2\rho\mu'(\rho) - 2\mu(\rho) - \lambda(\rho))\operatorname{div}(\mathbf{u})). \end{aligned}$$

Notice that the assumption (7) is now necessary to get the following interesting form:

$$\partial_t(\rho(\mathbf{u} + \mathbf{U})) + \operatorname{div}(\rho\mathbf{u} \otimes (\mathbf{u} + \mathbf{U})) + \nabla p(\rho) = \operatorname{div}(2\mu(\rho)A(\mathbf{u})) - r_0\rho|\mathbf{u}|\mathbf{u}. \quad (15)$$

## 2.2 A priori estimates

To control the boundary terms in various integrations by parts, we introduce a lift of the velocity field. Let  $\tilde{\mathbf{u}}$  be a regular function such that

$$\mathbf{u} = \tilde{\mathbf{u}} \text{ on } \partial\Omega, \quad \tilde{\mathbf{u}} \cdot \mathbf{n} = 0 \text{ on } \partial\Omega \quad \text{and} \quad \operatorname{div}(\tilde{\mathbf{u}}) = 0 \text{ on } \Omega.$$

### 2.2.1 Energy

The energy estimate comes from the multiplication of (2) by  $\mathbf{u} - \tilde{\mathbf{u}}$ . Using equation (1) and the boundary conditions on  $\mathbf{u}$  and  $\tilde{\mathbf{u}}$  we obtain

$$\begin{aligned} \frac{d}{dt} \int_{\Omega} \left( \rho \frac{|\mathbf{u}|^2}{2} + Q(\rho) \right) + \int_{\Omega} 2\mu(\rho)|D(\mathbf{u})|^2 + \int_{\Omega} \lambda(\rho)|\operatorname{div}(\mathbf{u})|^2 + r_0 \int_{\Omega} \rho|\mathbf{u}|^3 \\ = \frac{d}{dt} \int_{\Omega} (\rho\mathbf{u} \cdot \tilde{\mathbf{u}}) - \int_{\Omega} (\rho\mathbf{u} \otimes \mathbf{u}) : \nabla \tilde{\mathbf{u}} + \int_{\Omega} 2\mu(\rho)D(\mathbf{u}) : D(\tilde{\mathbf{u}}) + r_0 \int_{\Omega} \rho|\mathbf{u}|\mathbf{u} \cdot \tilde{\mathbf{u}}, \end{aligned} \quad (16)$$

where  $Q'(\rho) := \Pi(\rho)$  and  $\rho\Pi'(\rho) := p(\rho)$ .

### 2.2.2 BD entropy

The BD entropy estimate comes from the multiplication of (15) by  $\mathbf{u} - \tilde{\mathbf{u}} + \mathbf{U}$ . Using equation (1) and the boundary conditions on  $\mathbf{u}$ ,  $\tilde{\mathbf{u}}$  and  $\rho$  we obtain

$$\begin{aligned} \frac{d}{dt} \int_{\Omega} \left( \rho \frac{|\mathbf{u} + \mathbf{U}|^2}{2} + Q(\rho) \right) + \int_{\Omega} 2\mu(\rho)|A(\mathbf{u})|^2 + \int_{\Omega} \nabla P(\rho) \cdot \nabla \varphi(\rho) + r_0 \int_{\Omega} \rho|\mathbf{u}|^3 \\ = \frac{d}{dt} \int_{\Omega} (\rho(\mathbf{u} + \mathbf{U}) \cdot \tilde{\mathbf{u}}) - \int_{\Omega} (\rho\mathbf{u} \otimes (\mathbf{u} + \mathbf{U})) : \nabla \tilde{\mathbf{u}} - \int_{\Omega} 2\mu(\rho)A(\mathbf{u}) : A(\tilde{\mathbf{u}}) \\ + r_0 \int_{\Omega} \rho|\mathbf{u}|\mathbf{u} \cdot \tilde{\mathbf{u}} - r_0 \int_{\Omega} \rho|\mathbf{u}|\mathbf{u} \cdot \mathbf{U}. \end{aligned} \quad (17)$$

One of the main interests of this estimate is that not only it makes it possible to have a control on  $\mathbf{U}$  via the control of  $\rho(\mathbf{u} + \mathbf{U})$  but also that the “pressure” term  $\nabla p(\rho) \cdot \nabla \varphi(\rho)$  is very rich.

Separating the pressure into two terms :  $p = p_h + p_c$ , see assumption (10), we write

$$\int_{\Omega} \nabla p \cdot \nabla \varphi(\rho) = \int_{\Omega} \nabla p_h(\rho) \cdot \nabla \varphi(\rho) + \int_{\Omega} \nabla p_c(\rho) \cdot \nabla \varphi(\rho) =: I_h + I_c.$$

About the term  $I_h$ , we use the definition of  $p_h(\rho) = a\rho^\gamma$  and find

$$I_h = a\gamma \int_{\Omega} \mu'(\rho) \rho^{\gamma-2} |\nabla \rho|^2.$$

We write the term  $I_c$  as follows

$$I_c = \int_{\Omega} p'_c(\rho) \frac{\mu'(\rho)}{\rho} |\nabla \rho|^2 \mathbb{1}_{\rho < \rho_*} + \int_{\Omega} p'_c(\rho) \frac{\mu'(\rho)}{\rho} |\nabla \rho|^2 \mathbb{1}_{\rho > \rho_*}.$$

Using the assumptions (11) and (12) we obtain

$$I_c \geq \frac{1}{c_2} \int_{\Omega} \rho^{-\alpha-2} \mu'(\rho) |\nabla \rho|^2 \mathbb{1}_{\rho < \rho_*} - \frac{a\gamma}{2} \int_{\Omega} \rho^{\gamma-2} \mu'(\rho) |\nabla \rho|^2.$$

Moreover, for  $\rho < A$  we can use the assumption (8) on  $\mu'$  and deduce

$$I_c \geq \frac{c'_0}{c_2} \int_{\Omega} \rho^{n-\alpha-3} |\nabla \rho|^2 \mathbb{1}_{\rho < \min(\rho_*, A)} - \frac{a\gamma}{2} \int_{\Omega} \rho^{\gamma-2} \mu'(\rho) |\nabla \rho|^2.$$

Adding the two contributions  $I_h$  and  $I_c$  we obtain

$$\int_{\Omega} \nabla p \cdot \nabla \varphi(\rho) \geq \frac{c'_0}{c_2 M^2} \int_{\Omega} \left| \nabla (\xi(\rho)^M) \right|^2 + \frac{a\gamma}{2} \int_{\Omega} \rho^{\gamma-2} \mu'(\rho) |\nabla \rho|^2.$$

where  $M := \frac{-\alpha-1+n}{2}$  and  $\xi$  being taken such that  $\xi(\rho) = \rho$  for  $\rho \leq \frac{1}{2} \min(\rho_*, A)$  and  $\xi(\rho) = 0$  for  $\rho \geq \min(\rho_*, A)$ .

Note that the contribution  $\frac{a\gamma}{2} \int_{\Omega} \rho^{\gamma-2} \mu'(\rho) |\nabla \rho|^2$  allows us to control positive power of  $\rho$ . In fact, using assumptions (8) and (9), that is separating small and large densities, we show that

$$\int_{\Omega} \rho^{\gamma-2} \mu'(\rho) |\nabla \rho|^2 \geq C_0 \int_{\Omega} \rho^{\gamma-3+n} |\nabla \rho|^2,$$

where  $C_0$  depends on  $c'_0$ ,  $c'_1$  and  $A^{m-n}$ . We finally obtain the following inequality.

$$\int_{\Omega} \nabla p \cdot \nabla \varphi(\rho) \geq \frac{c'_0}{c_2 M^2} \int_{\Omega} \left| \nabla (\xi(\rho)^M) \right|^2 + \frac{C_0 a \gamma}{2 N^2} \int_{\Omega} \left| \nabla (\rho^N) \right|^2, \quad (18)$$

where  $M := \frac{-\alpha-1+n}{2} < 0$  and  $N := \frac{\gamma+n-1}{2} > 0$ .

### 2.2.3 Control of integral terms

In this part, we are particularly interested in the case  $r_0 = 0$ . In this case, the evolution terms are enough to control all the other terms. Nevertheless, an additional friction term with  $r_0 > 0$  naturally preserves the following calculations.

Integrating with respect to the time  $t \in [0, T]$  the energy estimate (16), we obtain

$$\begin{aligned} & \int_{\Omega} \left( \rho(T) \frac{|\mathbf{u}(T)|^2}{2} + Q(\rho(T)) \right) + \int_0^T \int_{\Omega} 2\mu(\rho) |D(\mathbf{u})|^2 + \int_0^T \int_{\Omega} \lambda(\rho) |\operatorname{div}(\mathbf{u})|^2 \\ &= \int_{\Omega} \left( \frac{|\mathbf{m}_0|^2}{2\rho_0} + Q(\rho_0) \right) + \int_{\Omega} \rho(T) \mathbf{u}(T) \cdot \tilde{\mathbf{u}} - \int_{\Omega} \mathbf{m}_0 \cdot \tilde{\mathbf{u}} \\ & \quad - \int_0^T \int_{\Omega} (\rho \mathbf{u} \otimes \mathbf{u}) : \nabla \tilde{\mathbf{u}} + \int_0^T \int_{\Omega} 2\mu(\rho) D(\mathbf{u}) : D(\tilde{\mathbf{u}}). \end{aligned} \quad (19)$$

Each term of the right hand side member is controlled as follow:

- $\left| \int_{\Omega} \rho(T) \mathbf{u}(T) \cdot \tilde{\mathbf{u}} \right| \leq \frac{1}{2} \int_{\Omega} \rho(T) \frac{|\mathbf{u}(T)|^2}{2} + \int_{\Omega} \rho(T) |\tilde{\mathbf{u}}|^2$   
 $\leq \frac{1}{2} \int_{\Omega} \rho(T) \frac{|\mathbf{u}(T)|^2}{2} + |\tilde{\mathbf{u}}|_{\infty}^2 M_0$
- $\left| \int_0^T \int_{\Omega} (\rho \mathbf{u} \otimes \mathbf{u}) : \nabla \tilde{\mathbf{u}} \right| \leq |\nabla \tilde{\mathbf{u}}|_{\infty} \int_0^T \int_{\Omega} \rho |\mathbf{u}|^2$
- $\left| \int_0^T \int_{\Omega} 2\mu(\rho) D(\mathbf{u}) : D(\tilde{\mathbf{u}}) \right| \leq \int_0^T \int_{\Omega} \mu(\rho) |D(\mathbf{u})|^2 + \int_0^T \int_{\Omega} \mu(\rho) |D(\tilde{\mathbf{u}})|^2$   
 $\leq \int_0^T \int_{\Omega} \mu(\rho) |D(\mathbf{u})|^2 + |D(\tilde{\mathbf{u}})|_{\infty}^2 \int_0^T \int_{\Omega} \mu(\rho).$

We deduce from (19) that

$$\begin{aligned} & \int_{\Omega} \left( \rho(T) \frac{|\mathbf{u}(T)|^2}{4} + Q(\rho(T)) \right) + \int_0^T \int_{\Omega} \mu(\rho) |D(\mathbf{u})|^2 + \int_0^T \int_{\Omega} \lambda(\rho) |\operatorname{div}(\mathbf{u})|^2 \\ & \leq C(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}) + |\nabla \tilde{\mathbf{u}}|_{\infty} \int_0^T \int_{\Omega} \rho |\mathbf{u}|^2 + |D(\tilde{\mathbf{u}})|_{\infty}^2 \int_0^T \int_{\Omega} \mu(\rho). \end{aligned} \quad (20)$$

In the same way, integrating with respect to the time  $t \in [0, T]$  the BD entropy estimate (17), we obtain (recall that in this subsection the friction coefficient is assume to be zero)

$$\begin{aligned} & \int_{\Omega} \left( \rho(T) \frac{|\mathbf{u}(T) + \mathbf{U}(T)|^2}{2} + Q(\rho(T)) \right) + \int_0^T \int_{\Omega} 2\mu(\rho) |A(\mathbf{u})|^2 + \int_0^T \int_{\Omega} \nabla p(\rho) \cdot \nabla \varphi(\rho) \\ & = \int_{\Omega} \left( \rho_0 \frac{|\mathbf{u}_0 + \mathbf{U}_0|^2}{2} + Q(\rho_0) \right) + \int_{\Omega} (\rho(T)(\mathbf{u}(T) + \mathbf{U}(T)) \cdot \tilde{\mathbf{u}}) - \int_{\Omega} (\rho_0(\mathbf{u}_0 + \mathbf{U}_0) \cdot \tilde{\mathbf{u}}) \\ & \quad - \int_0^T \int_{\Omega} (\rho \mathbf{u} \otimes (\mathbf{u} + \mathbf{U})) : \nabla \tilde{\mathbf{u}} - \int_0^T \int_{\Omega} 2\mu(\rho) A(\mathbf{u}) : A(\tilde{\mathbf{u}}). \end{aligned} \quad (21)$$

The right-hand side members are estimated in the same way that for obtaining the estimate (20). We obtain

$$\begin{aligned} & \int_{\Omega} \left( \rho(T) \frac{|\mathbf{u}(T) + \mathbf{U}(T)|^2}{4} + Q(\rho(T)) \right) + \int_0^T \int_{\Omega} \mu(\rho) |A(\mathbf{u})|^2 + \int_0^T \int_{\Omega} \nabla p(\rho) \cdot \nabla \varphi(\rho) \\ & \leq C(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}) + \frac{|\nabla \tilde{\mathbf{u}}|_{\infty}}{2} \int_0^T \int_{\Omega} \rho |\mathbf{u}|^2 + \frac{|\nabla \tilde{\mathbf{u}}|_{\infty}}{2} \int_0^T \int_{\Omega} \rho |\mathbf{u} + \mathbf{U}|^2 + |A(\tilde{\mathbf{u}})|_{\infty}^2 \int_0^T \int_{\Omega} \mu(\rho). \end{aligned} \quad (22)$$

#### 2.2.4 Gronwall argument

Putting (18), (20) and (22) together we get

$$\begin{aligned} & \int_{\Omega} \left( \rho(T) \frac{|\mathbf{u}(T)|^2}{4} + \rho(T) \frac{|\mathbf{u}(T) + \mathbf{U}(T)|^2}{4} + 2Q(\rho(T)) \right) + \int_0^T \int_{\Omega} \mu(\rho) |D(\mathbf{u})|^2 + \int_0^T \int_{\Omega} \mu(\rho) |A(\mathbf{u})|^2 \\ & \quad + \int_0^T \int_{\Omega} \lambda(\rho) |\operatorname{div}(\mathbf{u})|^2 + \frac{C_0}{c_2 M^2} \int_0^T \int_{\Omega} \left| \nabla (\xi(\rho)^M) \right|^2 + \frac{C_0 a \gamma}{2 N^2} \int_{\Omega} \left| \nabla (\rho^N) \right|^2 \\ & \leq C(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}) + C(|\nabla \tilde{\mathbf{u}}|_{\infty}) \left[ \int_0^T \int_{\Omega} \rho |\mathbf{u}|^2 + \int_0^T \int_{\Omega} \rho |\mathbf{u} + \mathbf{U}|^2 \right] + |\nabla \tilde{\mathbf{u}}|_{\infty}^2 \int_0^T \int_{\Omega} \mu(\rho). \end{aligned}$$

Since the gradient of both positive and negative powers of the density appear on the left hand side (recall that  $M = \frac{n-\alpha-1}{2} < 0$  and  $N = \frac{\gamma+n-1}{2} > 0$ ) and since the density is constant on  $\partial\Omega$ , we

can insure, thanks to Poincaré, that  $\int_0^T \int_{\Omega} \mu(\rho)$  is controlled, *via* assumptions (11) and (12), by the pressure terms of the left hand side. Then, using a Gronwall argument, we deduce that all the left hand side terms of this last inequality are bounded and we can write the following estimates:

$$\begin{aligned}\|\rho\|_{L^\infty(0,T;L^\gamma(\Omega))} &\leq c(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}), \\ \|\sqrt{\rho}\mathbf{u}\|_{L^\infty(0,T;L^2(\Omega))} &\leq c(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}), \\ \|\sqrt{\rho}\nabla\varphi(\rho)\|_{L^\infty(0,T;L^2(\Omega))} &\leq c(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}), \\ \|\sqrt{\mu(\rho)}\nabla\mathbf{u}\|_{L^2((0,T)\times\Omega)} &\leq c(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}), \\ \|\nabla\xi(\rho)^{\frac{n-\alpha-1}{2}}\|_{L^2(0,T;L^2(\Omega))} &\leq c(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}), \\ \|\nabla\rho^{\frac{\gamma+n-1}{2}}\|_{L^2(0,T;L^2(\Omega))} &\leq c(\mathbf{m}_0, \rho_0, \tilde{\mathbf{u}}).\end{aligned}$$

### 2.3 Approximate solutions and compactness

The preceding a priori estimates are the key ingredient of the existence result. As soon as approximate solutions satisfy such estimates, compactness properties make it possible to extract a subsequence that converges to a weak solution of the initial model. The compactness arguments are exactly those given in the periodic case or the whole space in [7] and more recently in the bounded case, see [8], that is why we will not detail it here.

Let us just say some words about the sequences of suitably smooth approximate solutions to the compressible Navier-Stokes equations (1)–(2) that preserve the estimates obtained in the previous section.

The construction scheme of approximate solutions, using on additional regularizing effects such as capillarity, is provided in [6]. We thus introduce some modified Navier-Stokes equations for  $(\rho_{\alpha,\beta}, \mathbf{u}_{\alpha,\beta})$ , always denoted  $(\rho, \mathbf{u})$  for sake of simplicity, depending on the regularizing parameters  $\alpha$  and  $\beta$ :

$$\partial_t \rho + \operatorname{div}(\rho \mathbf{u}) = 0, \quad (23)$$

$$\partial_t(\rho \mathbf{u}) + \operatorname{div}(\rho \mathbf{u} \otimes \mathbf{u}) - \operatorname{div} \sigma - r_0 \rho |\mathbf{u}| \mathbf{u} - \beta \rho \nabla(\mu'(\rho) \Delta^s \mu(\rho)) + \alpha \Delta^2 \mathbf{u} = 0, \quad (24)$$

where the conditions (7)–(12) are supposed to be satisfied.

These regularizations allow to use some classical result in order to prove the existence of smooth solutions. The remaining work consists in showing that the additional terms depending on  $\alpha$  and on  $\beta$  lead to some weak solutions of our initial model (1)–(2).

Notice that we may not modify (23) because the BD entropy is very closely related to the mass equation and some regularizing term in (23) could cancel equation (15). For this model, since energy and BD identities are preserved, the stability arguments given in [6] and [7] lead to our existence result cited in the Theorem 1.2.

## 3 Sketch of proof of Theorem 1.4 (stationary case)

The proof of Theorem 1.2 has been managed in the general case  $r_0 \geq 0$ . The only two points that have to be cleared in the stationary context concern the BD structure and the control of integral terms in the energy and BD formula. To control these terms we assume in this part, as announced in Theorem 1.4, that  $r_0 > 0$ . Moreover, in the three-dimensional case, we will assume the additive condition (13).

These two additive conditions (the condition on  $r_0$  and the condition (13)) will be used since in the stationary case we can not use Gronwall type arguments.

### 3.1 BD structure

Bringing some modification to the mass equation could cancel the BD structure, that is why it is not clear that Subsection 2.1 can be directly adapted. For instance, it is dedicated to the failure for any semi-stationary model, whereas stationary conditions for both mass and momentum equation lead, following the same steps as for the equation (15), to a similar equation:

$$\operatorname{div}(\rho \mathbf{u} \otimes (\mathbf{u} + \mathbf{U})) + \nabla p(\rho) = \operatorname{div}(2\mu(\rho)A(\mathbf{u})) - r_0\rho|\mathbf{u}|\mathbf{u},$$

### 3.2 Control of integral terms

In the stationary case, we will use the friction term to obtain a “good” estimate. More precisely in this case the energy estimate (16) and the BD entropy estimate (17) respectively write

$$\begin{aligned} & \int_{\Omega} 2\mu(\rho)|D(\mathbf{u})|^2 + \int_{\Omega} \lambda(\rho)|\operatorname{div}(\mathbf{u})|^2 + r_0 \int_{\Omega} \rho|\mathbf{u}|^3 \\ &= - \int_{\Omega} (\rho \mathbf{u} \otimes \mathbf{u}) : \nabla \tilde{\mathbf{u}} + \int_{\Omega} 2\mu(\rho)D(\mathbf{u}) : D(\tilde{\mathbf{u}}) + r_0 \int_{\Omega} \rho|\mathbf{u}|\mathbf{u} \cdot \tilde{\mathbf{u}}, \end{aligned} \quad (25)$$

$$\begin{aligned} & \int_{\Omega} 2\mu(\rho)|A(\mathbf{u})|^2 + \int_{\Omega} \nabla P(\rho) \cdot \nabla \varphi(\rho) + r_0 \int_{\Omega} \rho|\mathbf{u}|^3 \\ &= - \int_{\Omega} (\rho \mathbf{u} \otimes (\mathbf{u} + \mathbf{U})) : \nabla \tilde{\mathbf{u}} - \int_{\Omega} 2\mu(\rho)A(\mathbf{u}) : A(\tilde{\mathbf{u}}) \\ & \quad + r_0 \int_{\Omega} \rho|\mathbf{u}|\mathbf{u} \cdot \tilde{\mathbf{u}} - r_0 \int_{\Omega} \rho|\mathbf{u}|\mathbf{u} \cdot \mathbf{U}. \end{aligned} \quad (26)$$

We estimate the terms of right-hand sides again (the nonhere detailed terms are exactly treated as in the nonstationary case). The constant  $C$  which appears does not depend on physical constants such  $\Omega, r_0, \tilde{\mathbf{u}}\dots$

- $\left| \int_{\Omega} (\rho \mathbf{u} \otimes \mathbf{u}) : \nabla \tilde{\mathbf{u}} \right| \leq \frac{r_0}{4} \int_{\Omega} \rho|\mathbf{u}|^3 + \frac{C}{r_0} |\nabla \tilde{\mathbf{u}}|_{\infty}^3 \int_{\Omega} \rho,$
- $\left| r_0 \int_{\Omega} \rho|\mathbf{u}|\mathbf{u} \cdot \tilde{\mathbf{u}} \right| \leq \frac{r_0}{4} \int_{\Omega} \rho|\mathbf{u}|^3 + C r_0 |\tilde{\mathbf{u}}|_{\infty}^3 \int_{\Omega} \rho.$

The only two terms which seem more difficult to control are the following

$$T_1 = \int_{\Omega} (\rho \mathbf{u} \otimes \mathbf{U}) : \nabla \tilde{\mathbf{u}} \quad \text{and} \quad T_2 = r_0 \int_{\Omega} \rho|\mathbf{u}|\mathbf{u} \cdot \mathbf{U}.$$

- Using the definition of  $\mathbf{U}$  and of  $\varphi$ , and using an integration by part, since  $\operatorname{div}(\tilde{\mathbf{u}}) = 0$ , we obtain

$$T_1 = \int_{\Omega} 2(\mathbf{u} \otimes \nabla \mu(\rho)) : \nabla \tilde{\mathbf{u}} = - \int_{\Omega} 2\mu(\rho)(\nabla \mathbf{u})^T : \nabla \tilde{\mathbf{u}} + \int_{\partial\Omega} 2\mu(\rho)(\mathbf{u} \cdot \nabla \tilde{\mathbf{u}}) \cdot \mathbf{n}.$$

Since  $\tilde{\mathbf{u}} \cdot \mathbf{n} = 0$  and  $\mathbf{u} = \tilde{\mathbf{u}} = \mathbf{u}^b$  on  $\partial\Omega$  we write

$$(\mathbf{u} \cdot \nabla \tilde{\mathbf{u}}) \cdot \mathbf{n} = \mathbf{u}_i(\partial_i \tilde{\mathbf{u}}_j)\mathbf{n}_j = \mathbf{u}_i \partial_i(\tilde{\mathbf{u}}_j \mathbf{n}_j) - \mathbf{u}_i(\partial_i \mathbf{n}_j)\tilde{\mathbf{u}}_j = -\mathbf{u}^b \cdot \nabla \mathbf{n} \cdot \mathbf{u}^b = \Pi(\mathbf{u}^b),$$

where  $\Pi$  is the second fundamental form of  $\partial\Omega$ . By definition of  $A(\mathbf{u})$  and  $D(\mathbf{u})$  we have

$$(\nabla \mathbf{u})^T : \nabla \tilde{\mathbf{u}} = (D(\mathbf{u}) - A(\mathbf{u})) : (D(\tilde{\mathbf{u}}) + A(\tilde{\mathbf{u}})) = D(\mathbf{u}) : D(\tilde{\mathbf{u}}) - A(\mathbf{u}) : A(\tilde{\mathbf{u}}).$$

Hence we obtain

$$T_1 \leq \int_{\Omega} \mu(\rho)|D(\mathbf{u})|^2 + |D(\tilde{\mathbf{u}})|_{\infty}^2 \int_{\Omega} \mu(\rho) + \int_{\Omega} \mu(\rho)|A(\mathbf{u})|^2 + |A(\tilde{\mathbf{u}})|_{\infty}^2 \int_{\Omega} \mu(\rho) + 2\mu(\rho)^b \Pi(\mathbf{u}^b).$$

Finally, using the assumptions (8) and (9) we can control  $\mu$  with  $\rho$  as follows

$$\int_{\Omega} \mu(\rho) = \int_{\Omega} \mu(\rho) \mathbb{1}_{\rho < A} + \int_{\Omega} \mu(\rho) \mathbb{1}_{\rho > A} \leq \frac{1}{c_0} \int_{\Omega} \rho^n \mathbb{1}_{\rho < A} + \frac{1}{c_1} \int_{\Omega} \rho^m \mathbb{1}_{\rho > A} \leq \frac{|\Omega| A^n}{c_0} + \frac{1}{c_1} \int_{\Omega} \rho^m.$$

We obtain

$$T_1 \leq \int_{\Omega} \mu(\rho) |D(\mathbf{u})|^2 + \int_{\Omega} \mu(\rho) |A(\mathbf{u})|^2 + \frac{|\Omega| A^n |\nabla \tilde{\mathbf{u}}|_{\infty}^2}{c_0} + \frac{|\nabla \tilde{\mathbf{u}}|_{\infty}^2}{c_1} \int_{\Omega} \rho^m + 2\mu(\rho)^b \Pi(\mathbf{u}^b).$$

- For the term  $T_2$ , since  $\rho \mathbf{U} = 2\nabla \mu(\rho)$  and  $\mathbf{u} \cdot \mathbf{n} = 0$  on  $\partial\Omega$ , we obtain by integration by part

$$T_2 = - \int_{\Omega} 2r_0 \mu(\rho) \left( |\mathbf{u}| \operatorname{div}(\mathbf{u}) + \frac{\mathbf{u}}{|\mathbf{u}|} \cdot (\mathbf{u} \cdot \nabla) \mathbf{u} \right) \leq 4r_0 \int_{\Omega} \mu(\rho) |\mathbf{u}| |\nabla \mathbf{u}|.$$

By the Young inequality, we obtain

$$T_2 \leq \int_{\Omega} \mu(\rho) |\nabla \mathbf{u}|^2 + 4r_0^2 \int_{\Omega} \mu(\rho) |\mathbf{u}|^2.$$

Using assumptions (8) and (9), the fact that  $n \geq 2/3$  and the Young inequality, we successively deduce that

$$\begin{aligned} 4r_0^2 \int_{\Omega} \mu(\rho) |\mathbf{u}|^2 &\leq 4r_0^2 \int_{\Omega} \mu(\rho) |\mathbf{u}|^2 \mathbb{1}_{\rho < A} + 4r_0^2 \int_{\Omega} \mu(\rho) |\mathbf{u}|^2 \mathbb{1}_{\rho > A} \\ &\leq \frac{4r_0^2 A^{n-2/3}}{c_0} \int_{\Omega} \rho^{2/3} |\mathbf{u}|^2 + \frac{4r_0^2}{c_1} \int_{\Omega} \rho^m |\mathbf{u}|^2 \\ &\leq \frac{r_0}{4} \int_{\Omega} \rho |\mathbf{u}|^3 + \frac{C r_0^4 A^{3n-2}}{c_0^3} + \frac{C r_0^4}{c_1^3} \int_{\Omega} \rho^{3m-2}. \end{aligned}$$

Consequently we majore  $T_2$  as follows

$$T_2 \leq \int_{\Omega} \mu(\rho) |\nabla \mathbf{u}|^2 + \frac{r_0}{4} \int_{\Omega} \rho |\mathbf{u}|^3 + \frac{C r_0^4 A^{3n-2}}{c_0^3} + \frac{C r_0^4}{c_1^3} \int_{\Omega} \rho^{3m-2}.$$

With the preceding estimates, the sum of the equalities (25) and (26) is written

$$\begin{aligned} &\int_{\Omega} \mu(\rho) |D(\mathbf{u})|^2 + \int_{\Omega} \mu(\rho) |A(\mathbf{u})|^2 + \int_{\Omega} \lambda(\rho) |\operatorname{div}(\mathbf{u})|^2 \\ &+ \frac{C_0}{c_2 M^2} \int_{\Omega} |\nabla (\xi(\rho)^M)|^2 + \frac{C_0 a \gamma}{2} \int_{\Omega} \rho^{\gamma-3+n} |\nabla \rho|^2 + r_0 \int_{\Omega} \rho |\mathbf{u}|^3 \\ &\leq \left( \frac{C}{r_0} |\nabla \tilde{\mathbf{u}}|_{\infty}^3 + C r_0 |\tilde{\mathbf{u}}|_{\infty}^3 \right) \int_{\Omega} \rho + \frac{|\nabla \tilde{\mathbf{u}}|_{\infty}^2}{c_1} \int_{\Omega} \rho^m + \frac{C r_0^4}{c_1^3} \int_{\Omega} \rho^{3m-2} + \text{Cte}, \end{aligned} \tag{27}$$

$$\text{with Cte} = \frac{|\Omega| |\nabla \tilde{\mathbf{u}}|_{\infty}^2}{c_0} + \frac{C r_0^4 A^{3n-2}}{c_0^3} + 2\mu(\rho)^b \Pi(\mathbf{u}^b).$$

We conclude this section by showing that all the terms of right-hand side of the equation (27) (except the constant Cte) can be controlled by the terms of the left-hand side. This result is due to the control of the density *via* the term  $\int_{\Omega} \rho^{\gamma-3+n} |\nabla \rho|^2 = \frac{1}{N^2} \int_{\Omega} |\nabla (\rho^N)|^2$  where  $N = \frac{\gamma+n-1}{2}$ . This term make it possible (using the Poincaré inequality) to control  $\rho^N$  in  $H^1(\Omega)$ . From the Sobolev embeddings, we deduce a control of  $\rho$  in  $L^{qN}(\Omega)$  (for all  $q < +\infty$  in the 2-dimensional case, and for all  $q \leq \frac{2d}{d-2}$  in the  $d$ -dimensional case,  $d > 2$ ).

If we assume that

$$3m - 2 < qN \tag{C1}$$

then all the integrals of the right-hand side of the equation (27) are controlled (since  $m \geq 1$ , that is  $3m - 2 \geq m \geq 1$ ). For instance, using the Young inequality, we write

$$\frac{C r_0^4}{c_1^3} \int_{\Omega} \rho^{3m-2} \leq \delta_1 \int_{\Omega} \rho^{qN} + \delta_2,$$

where we can adapt the constant  $\delta_1$  such that the term  $\delta_1 \int_{\Omega} \rho^{qN}$  is controlled by  $\frac{C_0 a \gamma}{2N^2} \int_{\Omega} |\nabla(\rho^N)|^2$ .

### 3.3 Stability of weak solutions

In the stationary case, the lack of estimates implies that the stability of weak solutions is conditioned by some specific profiles for viscosities and pressure. Some relations between the corresponding coefficients  $m, n, \alpha$  and  $\gamma$  may be considered.

Let us consider a sequence of weak solutions  $\rho_k, \mathbf{u}_k$  of the stationary equations (3)–(4).

#### 3.3.1 Estimates

The preceding subsection leads to the following a priori estimates:

$$\|\sqrt{\mu(\rho_k)} \nabla \mathbf{u}_k\|_{L^2(\Omega)} \leq c(\Omega, \tilde{\mathbf{u}}), \quad (28)$$

$$\|\sqrt{\lambda(\rho_k)} \operatorname{div}(\mathbf{u}_k)\|_{L^2(\Omega)} \leq c(\Omega, \tilde{\mathbf{u}}), \quad (29)$$

$$\|\nabla(\xi(\rho_k)^M)\|_{L^2(\Omega)} \leq c(\Omega, \tilde{\mathbf{u}}), \quad (30)$$

$$\|\nabla(\rho_k^N)\|_{L^2(\Omega)} \leq c(\Omega, \tilde{\mathbf{u}}), \quad (31)$$

$$\|\rho_k \mathbf{u}_k^3\|_{L^1(\Omega)} \leq c(\Omega, \tilde{\mathbf{u}}), \quad (32)$$

where  $M = \frac{n-\alpha-1}{2} < 0$  and  $N = \frac{n+\gamma-1}{2} > 0$ .

We are going to show that these estimates together with some compactness arguments lead to conclude that  $(\rho_k, \mathbf{u}_k)$  weakly converges to a solution  $(\rho, \mathbf{u})$  of the system (3)–(4).

#### 3.3.2 Compactnesses

In order to cover the general case  $d \in \{2, 3\}$ , we will keep a coefficient  $q$  such that  $H^1(\Omega) \subset L^q(\Omega)$  with continuous injection. In the  $d$ -dimensional case (with  $d > 2$ ) we can choose any  $q$  such that  $q \leq 2d/(d-2)$  whereas in the 2-dimensional case we can choose any  $q$  such that  $q < +\infty$ . In the sequel, we will denote by  $q$  such a real.

• **Compacity on the density** - The estimate (31) shows that the sequence  $\rho_k^N$  is bounded in  $H^1(\Omega)$ . Under the condition (C1) and the fact that  $3m - 2 \geq 1$  for all  $m \geq 1$ , we have  $qN \geq 1$ . Consequently we obtain

$$\rho_k \rightarrow \rho \text{ in } L^{qN}(\Omega). \quad (33)$$

In the same way, the estimate (30) shows that the sequence  $\rho_k^M$  is bounded in  $H^1(\Omega)$  (recall that by definition we have  $M < 0$ ). We obtain

$$\frac{1}{\rho_k} \rightarrow \frac{1}{\rho} \text{ in } L^{-qM}(\Omega). \quad (34)$$

We will note that  $-qM \geq 1$  is satisfied in the 2-dimensional case taking  $q$  large enough and in the 3-dimensional case taking  $q = 6$  and using the assumptions given on page 3 for  $\alpha$  and  $n$ .

By the conditions (8) and (9), we obtain

$$\sqrt{\mu(\rho_k)} \rightarrow \sqrt{\mu(\rho)} \text{ in } L^{\frac{2qN}{m}}(\Omega), \quad (35)$$

$$\frac{1}{\sqrt{\mu(\rho_k)}} \rightarrow \frac{1}{\sqrt{\mu(\rho)}} \text{ in } L^{\frac{-2qM}{n}}(\Omega). \quad (36)$$

We will note that  $\frac{2qN}{m} \geq 2$  (using the condition (C1) and the fact that  $3m - 2 \geq m$  for all  $m \geq 1$ ) and  $\frac{-2qM}{n} \geq 2$  (since we previously prove that  $-qM \geq 1$  and since  $n < 1$ ).

• **Compacity on the velocity** - On another hand, we know by (28) that  $\sqrt{\mu(\rho_k)}\nabla \mathbf{u}_k$  is bounded in  $L^2(\Omega)$  and thus weakly converges in  $L^2(\Omega)$ . From the identity

$$\nabla \mathbf{u}_k = \frac{1}{\sqrt{\mu(\rho_k)}} \sqrt{\mu(\rho_k)} \nabla \mathbf{u}_k,$$

we also conclude that  $\nabla \mathbf{u}_k$  is bounded in  $L^r(\Omega)$  with  $\frac{1}{r} = \frac{1}{2} - \frac{n}{2qM}$ . We note that  $r \geq 1$  since we have previously proved that  $-qM \geq 1 > n$ . Moreover, since  $M < 0$ , we also have  $r < 2$ .

Using Poincaré inequality we obtain a bound for the sequence  $\mathbf{u}_k$  in  $W_w^{1,r}(\Omega)$ . Thanks to the compactness  $W^{1,r}(\Omega) \subset L^s(\Omega)$  for  $s < \frac{rd}{d-r}$ , we obtain

$$\mathbf{u}_k \rightarrow \mathbf{u} \text{ in } L^s(\Omega), \quad \forall s < \frac{rd}{d-r}. \quad (37)$$

### 3.3.3 Limit

In this subsection, we show that we can pass to the limit when  $k$  tend to  $+\infty$  for the nonlinear term in the equation (3) and (4). The “more nonlinear” terms in these equations are the following ones:

$$T_1 = P(\rho_k), \quad T_2 = \rho_k |\mathbf{u}_k| \mathbf{u}_k \quad \text{and} \quad T_3 = \operatorname{div}(\mu(\rho_k) \nabla \mathbf{u}_k).$$

More precisely, the other nonlinear terms are  $\operatorname{div}(\rho_k \mathbf{u}_k)$  and  $\operatorname{div}(\rho_k \mathbf{u}_k \otimes \mathbf{u}_k)$  which convergences (in the sense of distributions on  $\Omega$ ) are consequences of the convergence of  $T_2$ , and  $\nabla(\lambda(\rho_k) \operatorname{div}(\mathbf{u}_k))$  which convergence is similar to the convergence of  $T_3$ .

• **Convergence of the pressure term  $T_1$**  - Recall (see assumption (10)) that the pressure is a sum of two pressures  $p_h + p_c$ .

★ Since  $p_h(\rho_k) = a\rho_k^\gamma$  the convergence of  $\nabla p_h(\rho_k)$  to  $\nabla p_h(\rho)$  in the sense of distributions on  $\Omega$  comes from to convergence (33):

$$\rho_k^\gamma \rightarrow \rho^\gamma \text{ in } L^{\frac{qN}{\gamma}}(\Omega). \quad (38)$$

We will note that  $\frac{qN}{\gamma} \geq 1$ . More precisely, this condition is satisfied in the 2-dimensional case (taking  $q$  large enough) and in the 3-dimensional case taking  $q = 6$ , and  $n$  and  $\gamma$  satisfying the assumptions given page 3.

★ Then, we are interested in the convergence of the cold pressure term which writes as

$$\nabla p_c(\rho_k) = \rho_k^{-M-\alpha} \left( \rho_k^{M+\alpha} p'_c(\rho_k) \nabla \rho_k \mathbf{1}_{\{\rho_k \leq \rho^*\}} \right) + \rho_k^{\max\{\beta, \gamma\}-N} \left( \rho_k^{N-\max\{\beta, \gamma\}} p'_c(\rho_k) \nabla \rho_k \mathbf{1}_{\{\rho_k > \rho^*\}} \right).$$

The only thing we have to obtain on the gradient of the cold pressure  $\nabla p_c(\rho_k)$  is its boundedness in some  $L^t(\Omega)$  space with  $t \geq 1$ . Recalling the assumptions (11) and (12) on the cold pressure, we know that  $\rho_k^{M+\alpha} |p'_c(\rho_k) \nabla \rho_k| \mathbf{1}_{\{\rho_k \leq \rho^*\}}$  and  $\rho_k^{N-\max\{\beta, \gamma\}} |p'_c(\rho_k) \nabla \rho_k| \mathbf{1}_{\{\rho_k > \rho^*\}}$  are bounded in  $L^2(\Omega)$ , respectively by (30) and (31). As a consequence, we can insure that  $\nabla p_c(\rho_k)$  is bounded in  $L^t(\Omega)$  with  $t \geq 1$  as soon as  $\rho_k^{-M-\alpha}$  and  $\rho_k^{\max\{\beta, \gamma\}-N}$  are bounded in  $L^2(\Omega)$ .

Since  $-M - \alpha < 0$ , using the convergence result (34) we get the expected information “ $\rho_k^{-M-\alpha}$  is bounded in  $L^2(\Omega)$ ” if we have

$$2(\alpha + M) \leq -qM.$$

We note that this condition is satisfied in the 2-dimensional case taking  $q$  large enough and in the 3-dimensional case taking  $q = 6$ .

In the same way, since  $\max\{\beta, \gamma\} - N > 0$ , using the convergence result (33) we get the expected information “ $\rho_k^{\max\{\beta, \gamma\}-N}$  is bounded in  $L^2(\Omega)$ ” if we have

$$2(\max\{\beta, \gamma\} - N) \leq qN.$$

As previously, we note that the condition  $2(\gamma - N) \leq qN$  is satisfied as well in the 2-dimensional case as in the 3-dimensional case. Hence, we need the following condition  $2(\beta - N) \leq qN$  which can be written

$$4\beta \leq (q + 2)(n + \gamma - 1). \quad (\text{C2})$$

- **Convergence of the friction term  $T_2$**  - We write  $T_2 = (\rho_k |\mathbf{u}_k|^3)^{\frac{2}{3}} \rho_k^{\frac{1}{3}}$ . Using the compacity (that is the strong convergence (33)) and the bound (see estimate (32)) on  $\rho_k |\mathbf{u}_k|^3$  in  $L^1(\Omega)$ , we obtain

$$(\rho_k |\mathbf{u}_k|^3)^{\frac{2}{3}} \rho_k^{\frac{1}{3}} \rightharpoonup f \rho^{\frac{1}{3}} \text{ in } L^{\frac{3}{2}}(\Omega) \times L^{3qN}(\Omega) \subset L^1(\Omega),$$

where  $f$  is the weak limit of  $(\rho_k |\mathbf{u}_k|^3)^{\frac{2}{3}}$  in  $L^{\frac{3}{2}}(\Omega)$ . The last inclusion holds since  $qN \geq 1$  (see condition (C1)).

To identify the limit  $f$ , we use the strong convergence for the density and the velocity:

$$\rho_k^{\frac{2}{3}} \rightarrow \rho^{\frac{2}{3}} \text{ in } L^{\frac{3qN}{2}}(\Omega) \quad \text{and} \quad |\mathbf{u}_k|^2 \rightarrow |\mathbf{u}|^2 \text{ in } L^s(\Omega), \forall s < \frac{rd}{2(d-r)}.$$

We deduce that  $f = \rho^{\frac{2}{3}} |\mathbf{u}|^2$  if  $\frac{2}{3qN} + \frac{2(d-r)}{rd} < 1$ . We can show that this condition is satisfy in the two-dimensional case taking  $q$  large enough and in the 3-dimensional case taking  $q = 6$  (and using the fact that  $N > \frac{1}{3}$ ,  $M < \frac{-1}{2}$  and  $n < 1$ ).

Consequently, the friction term  $T_2$  satisfies

$$T_2 = \rho_k |\mathbf{u}_k|^2 = (\rho_k |\mathbf{u}_k|^3)^{\frac{2}{3}} \rho_k^{\frac{1}{3}} \rightharpoonup \rho^{\frac{2}{3}} |\mathbf{u}|^2 \rho^{\frac{1}{3}} = \rho |\mathbf{u}|^2 \text{ in } L^1(\Omega).$$

- **Convergence of the viscous term  $T_3$**  - Through (28) we obtain

$$\sqrt{\mu(\rho_k)} \nabla \mathbf{u}_k \rightharpoonup g \text{ in } L^2(\Omega).$$

To identify the limit  $g$ , we use the strong convergence results (35) and (37). We get  $g = \sqrt{\mu(\rho)} \nabla \mathbf{u}$  if we have the following condition

$$\frac{m}{N} - \frac{n}{M} \leq q. \quad (39)$$

This condition is satisfied in the 2-dimensional case taking  $q$  large enough. In the 3-dimensional case, taking  $q = 6$  the condition (39) is written (recall that  $M = \frac{n-\alpha-1}{2}$  and  $N = \frac{n+\gamma-1}{2}$ )

$$m < (\gamma + n - 1) \left( 3 - \frac{n}{1 + \alpha - n} \right).$$

Since  $n < 1$  and  $\alpha \geq 1$ , we have  $3 - \frac{n}{1 + \alpha - n} > 1$ . We deduce that the condition (39) is contained in the condition (C1) in the 3-dimensional case.

The viscous term  $\mu(\rho_k) \nabla \mathbf{u}_k$  is written  $\sqrt{\mu(\rho_k)} (\sqrt{\mu(\rho_k)} \nabla \mathbf{u}_k)$  which converges in  $L^1(\Omega)$  if  $m \leq qN$ , condition which is a consequence of the condition (C1) since  $3m - 2 \geq m$ . We obtain

$$\operatorname{div}(\mu(\rho_k) \nabla \mathbf{u}_k) \rightarrow \operatorname{div}(\mu(\rho) \nabla \mathbf{u}) \text{ in } \mathcal{D}'(\Omega).$$

### 3.4 Pressure and viscosity conditions

Let's recapitulate all the conditions we need to get the integrabilities and compacities cited in the preceding subsections. Recall that (see assumption on page 3)

$$\gamma \geq 1, \quad \alpha \geq 1, \quad m > 1 \quad \text{and} \quad \frac{2}{3} < n < 1. \quad (40)$$

The additional conditions (C1) and (C2) write as

$$3m - 2 < qN, \quad 4\beta \leq (q + 2)(n + \gamma - 1).$$

**In the two dimensional case,**  $q$  can be chosen as large as we need, thus many inequalities are satisfied and Theorem 1.4 holds only with conditions (40).

**In the three dimensional case,**  $q$  is any number smaller than 6. Thus, Theorem 1.4 holds with the following additive conditions on the pressure and viscosities coefficients:

$$m < \gamma + n - \frac{1}{3}, \quad \beta \leq 2(\gamma + n - 1).$$

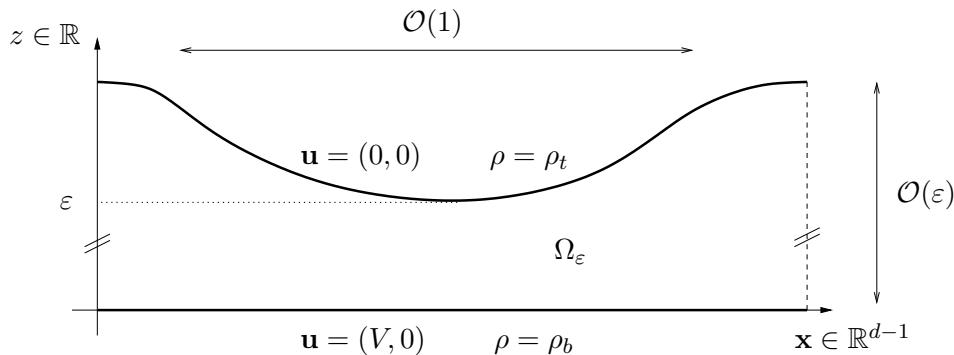
These conditions exactly correspond to the condition (13).

## 4 Behaviour in thin domains

In this part, we derive the compressible Reynolds equation. Formally, this equation comes from the compressible Navier-Stokes equation in a thin domain, that is when one of the length is assumed to be smaller than the other directions. The main applications of this kind of behavior relate to the field of lubrication (see the Introduction). Within such a framework, the thin domain is of the following form

$$\Omega_\varepsilon = \{(\mathbf{x}, z) \in \mathbb{R}^{d-1} \times \mathbb{R} ; \quad \mathbf{x} \in \mathcal{O} \subset \mathbb{R}^{d-1} \quad \text{and} \quad 0 < z < \varepsilon h(\mathbf{x})\},$$

where  $\mathcal{O}$  is a bounded domain in  $\mathbb{R}^{d-1}$  and the height  $h : \mathcal{O} \rightarrow \mathbb{R}$  is a regular and periodic function. Note that to be able to define a periodical function, the domain  $\mathcal{O}$  must be rectangular. In the case of the dimension  $d = 2$  this is not a restriction. In the case of the upper dimension, this situation corresponds to realistic physical situations. Moreover, it is possible to consider other conditions on the lateral boundaries. For all these aspects, consult thesis of S. Martin [17], as well as named references. We assume that  $h \geq h_{\min} > 0$  and up to a normalization, we can assume that  $h_{\min} = 1$ . The size of the bounded domain  $\mathcal{O} \subset \mathbb{R}^{d-1}$  is assumed to be of order 1. The non-dimensional number  $\varepsilon$  corresponds to the characteristic ratio between the characteristic lengths of  $\mathcal{O}$  and the characteristic height  $\varepsilon h$ .



**Boundary conditions on  $\Omega_\varepsilon$ :** According to the results of the preceding parts (and according to the periodic results, see for instance [7]), the boundary conditions which we impose are the following

(i) - Periodic conditions for the velocity and the density on the lateral boundaries (i.e. for  $\mathbf{x} \in \partial\mathcal{O}$ ).

(ii) - Dirichlet conditions for the velocity on the top and bottom surface

$$\mathbf{u} = (V, 0) \in \mathbb{R}^{d-1} \times \mathbb{R} \quad \text{for } z = 0, \quad \mathbf{u} = (0, 0) \quad \text{for } z = h(\mathbf{x}).$$

(iii) - Constant density on each connex component

$$\rho = \rho_b \in \mathbb{R} \quad \text{for } z = 0, \quad \rho = \rho_t \in \mathbb{R} \quad \text{for } z = h(\mathbf{x}).$$

The goal of this part is thus to justify in a rigorous way the compressible Reynolds equations, i.e. to determine the limit when  $\varepsilon$  tends to 0 of the stationary compressible Navier-Stokes equations (3)–(4).

*Remark.* The result which is shown here concerns the justification of the Reynolds equation from the stationary Navier-Stokes compressible equations. Of course, the same method would allow to give a rigorous justification of the non-stationary Reynolds equation from the non-stationary Navier-Stokes equations.

#### 4.1 Rescaled equations

In such a domain the unknowns of the equations (3)–(4), i.e. velocity and density, depending on  $\varepsilon$  are denoted with a subscript  $\mathbf{u}_\varepsilon$  and  $\rho_\varepsilon$ . The first stage consists in rewriting these equations (3)–(4) in a domain independent of  $\varepsilon$ . For that, we introduce the change of variable  $Z = z/\varepsilon$ . We define the rescaled domain

$$\Omega = \{(\mathbf{x}, Z) \in \mathbb{R}^{d-1} \times \mathbb{R} ; \quad \mathbf{x} \in \mathcal{O} \subset \mathbb{R}^{d-1} \quad \text{and} \quad 0 < Z < h(\mathbf{x})\}.$$

In worries of simplifications, computations and notations used later will be made in dimension  $d = 2$ . In this case, the impose velocity  $V$  is a real number, which will be assumed to be positive:  $V > 0$ . If the three dimensional case ( $d = 3$ ) is really different (for instance when we use the classical Sobolev injections) we shall apparently refer to it.

In the studied context (for example that of lubrication), we know that the pressure depends on the thickness  $\varepsilon$  of the domain as  $1/\varepsilon^2$  (see [17] and the cited references). We define a normalized pressure  $P_\varepsilon$  by  $P_\varepsilon = \varepsilon^2 p_\varepsilon$ . In the same way, if horizontal velocity is of order 1 (this order of magnitude depends in fact on the size of the velocity imposed on the boundaries of the domain, here we suppose that  $V$  is of order 1) then vertical velocity will be of order  $\varepsilon$ :  $\mathbf{u}_\varepsilon = (v_\varepsilon, \varepsilon w_\varepsilon)$ . We can obtain the following equations in  $\Omega$ :

$$\partial_x(\rho_\varepsilon v_\varepsilon) + \partial_Z(\rho_\varepsilon w_\varepsilon) = 0, \tag{41}$$

$$\begin{aligned} \rho_\varepsilon v_\varepsilon \partial_x v_\varepsilon + \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon &= 2\partial_x(\mu(\rho_\varepsilon) \partial_x v_\varepsilon) + \frac{1}{\varepsilon^2} \partial_Z(\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) + \partial_Z(\mu(\rho_\varepsilon) \partial_x w_\varepsilon) \\ &\quad + \partial_x(\lambda(\rho_\varepsilon)(\partial_x v_\varepsilon + \partial_Z w_\varepsilon)) - \frac{1}{\varepsilon^2} \partial_x P(\rho_\varepsilon) - r_0 \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{\frac{1}{2}} v_\varepsilon, \end{aligned} \tag{42}$$

$$\begin{aligned} \rho_\varepsilon v_\varepsilon \partial_x(\varepsilon w_\varepsilon) + \rho_\varepsilon w_\varepsilon \partial_Z(\varepsilon w_\varepsilon) &= \frac{1}{\varepsilon} \partial_x(\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) + \varepsilon \partial_x(\mu(\rho_\varepsilon) \partial_x w_\varepsilon) + \frac{2}{\varepsilon} \partial_Z(\mu(\rho_\varepsilon) \partial_Z w_\varepsilon) \\ &\quad + \frac{1}{\varepsilon} \partial_Z(\lambda(\rho_\varepsilon)(\partial_x v_\varepsilon + \partial_Z w_\varepsilon)) - \frac{1}{\varepsilon^3} \partial_Z P(\rho_\varepsilon) - \varepsilon r_0 \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{\frac{1}{2}} w_\varepsilon. \end{aligned} \tag{43}$$

As we have seen it in the Subsection 2.1, we are also interested in other particular forms of these equations. Referring to (15) and noting  $\mathbf{U}_\varepsilon = (V_\varepsilon, W_\varepsilon) = (\frac{2\partial_x \mu(\rho_\varepsilon)}{\rho_\varepsilon}, \frac{2\partial_Z \mu(\rho_\varepsilon)}{\varepsilon \rho_\varepsilon})$ , we can write

$$\begin{aligned} \rho_\varepsilon v_\varepsilon \partial_x(v_\varepsilon + V_\varepsilon) + \rho_\varepsilon w_\varepsilon \partial_Z(v_\varepsilon + V_\varepsilon) &= \partial_Z(\mu(\rho_\varepsilon) \partial_x w_\varepsilon) - \frac{1}{\varepsilon^2} \partial_Z(\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) \\ &\quad - \frac{1}{\varepsilon^2} \partial_x P(\rho_\varepsilon) - r_0 \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{\frac{1}{2}} v_\varepsilon, \end{aligned} \quad (44)$$

$$\begin{aligned} \rho_\varepsilon v_\varepsilon \partial_x(\varepsilon w_\varepsilon + W_\varepsilon) + \rho_\varepsilon w_\varepsilon \partial_Z(\varepsilon w_\varepsilon + W_\varepsilon) &= \varepsilon \partial_x(\mu(\rho_\varepsilon) \partial_x w_\varepsilon) - \frac{1}{\varepsilon} \partial_x(\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) \\ &\quad - \frac{1}{\varepsilon^3} \partial_Z P(\rho_\varepsilon) - \varepsilon r_0 \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{\frac{1}{2}} w_\varepsilon. \end{aligned} \quad (45)$$

## 4.2 Convergence of the compressible Navier-Stokes equations to the compressible Reynolds equations

**Theorem 4.1** *A solution  $(\rho_\varepsilon, v_\varepsilon, \varepsilon w_\varepsilon)$  of system (41)–(43) with conditions (7)–(14) satisfying the preceding boundary conditions (i), (ii) and (iii) converges to  $(\rho, v, w)$  in  $L^{r_1}(\Omega) \times (L_w^{r_2}(\Omega))^2$ , for some  $r_1, r_2 > 1$ , when  $\varepsilon$  tends to 0.*

At the limit, the following system holds in  $\mathcal{D}'(\Omega)$ :

$$\partial_x \left( \int_0^h \rho v \, dZ \right) = 0, \quad (46)$$

$$-\partial_Z(\mu(\rho) \partial_Z v) + \partial_x P(\rho) = 0, \quad (47)$$

$$\partial_Z P(\rho) = 0. \quad (48)$$

Moreover, the horizontal velocity  $v$  satisfies the boundary conditions  $v|_{Z=0} = V$ ,  $v|_{Z=h(x)} = 0$  and  $v$  and  $\rho$  are periodic with respect to the  $x$  variable.

*Remark.*

1. The boundary conditions on the density are not conserved through the limit  $\varepsilon \rightarrow 0$  and this is essential to get a non constant density  $\rho$  in the limit system.
2. The limit model is not coupled with the vertical velocity any more. However, we have a limit equality for the weak limit  $w$  of  $\varepsilon w_\varepsilon$ :  $\partial_Z(\rho w) = 0$ .
3. Notice that if  $P'$  is not zero almost everywhere then  $\partial_Z P(\rho) = 0 \iff \partial_Z \rho = 0$ . As in the incompressible case, we can integrate twice the first equation (47) with respect to variable  $Z$  and use the condition (46) in order to obtain:

$$\partial_x \left( \frac{h^3}{12} \frac{\rho P'(\rho)}{\mu(\rho)} \partial_x \rho \right) = \partial_x \left( \frac{\rho h}{2} V \right). \quad (49)$$

4. It is important to notice that for such a Reynolds equation, maximum principle is proved (see for instance [10]). Consequently, there exists a constant  $\rho_{\min} > 0$  such that the solution  $\rho$  of the Reynolds equation (49) satisfies  $\rho \geq \rho_{\min}$ . We deduce that for  $\varepsilon$  small enough, we have  $\rho_\varepsilon \geq \frac{\rho_{\min}}{2} > 0$ , that imply that assumptions (8) and (11) are useless.
5. The proof presented here can easily be extended to the nonstationary case (by using the result of the Theorem 1.2). We thus justify the nonstationary compressible Reynolds equation

$$\partial_t(\rho h) + \partial_x \left( \frac{h^3}{12} \frac{\rho P'(\rho)}{\mu(\rho)} \partial_x \rho \right) = \partial_x \left( \frac{\rho h}{2} V \right),$$

as the limit of the compressible Navier-Stokes equations in thin domain.

## 5 Proof of Theorem 4.1

For all  $\varepsilon > 0$ , the existence of a suitable solution of (41)–(43) is given by Theorem 1.4, coupled with classical results in periodical cases (see [7]). So, let us consider  $(\rho_\varepsilon, \mathbf{u}_\varepsilon)$  such a solution.

The aim is to obtain estimates of  $(\rho_\varepsilon, \mathbf{u}_\varepsilon)$  which are on the one hand non-depending on  $\varepsilon$ , on the other hand sufficient to pass to the limit  $\varepsilon \rightarrow 0$  in the equations (41)–(43). The main difficulty comes from the non-linearities which require strong convergences of some terms.

### 5.1 A priori estimates

To write energy estimates, we take again the method of previous sections. Recall that this method requires the introduction of a velocity lift  $\tilde{\mathbf{u}}_\varepsilon$ . Within the framework which interests us here (see Figure page 15), the velocity lift that we will use is:  $\tilde{\mathbf{u}}_\varepsilon = (\tilde{v}_\varepsilon, \tilde{w}_\varepsilon)$  with

$$\tilde{v}_\varepsilon = \begin{cases} V(1-Z) & \text{if } 0 < Z < 1 \\ 0 & \text{if } Z > 1 \end{cases} \quad \text{and} \quad \tilde{w}_\varepsilon = 0.$$

Note that it is very easy to regularize this velocity field, keeping the form  $\tilde{\mathbf{u}}_\varepsilon = (\tilde{v}_\varepsilon(Z), 0)$ .

**Lemma 5.1** *The energy and BD formula write as follows*

$$\begin{aligned} & 2 \int_{\Omega} \mu(\rho_\varepsilon) |\partial_x v_\varepsilon|^2 + 2 \int_{\Omega} \mu(\rho_\varepsilon) |\partial_Z w_\varepsilon|^2 + \int_{\Omega} \mu(\rho_\varepsilon) \left| \varepsilon \partial_x w_\varepsilon + \frac{1}{\varepsilon} \partial_Z v_\varepsilon \right|^2 \\ & + \int_{\Omega} \lambda(\rho_\varepsilon) |\partial_x v_\varepsilon + \partial_Z w_\varepsilon|^2 + r_0 \int_{\Omega} \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{3/2} = S_1^\varepsilon \end{aligned} \quad (50)$$

$$\begin{aligned} & \int_{\Omega} \mu(\rho_\varepsilon) \left| \varepsilon \partial_x w_\varepsilon - \frac{1}{\varepsilon} \partial_Z v_\varepsilon \right|^2 + \frac{1}{\varepsilon^2} \frac{C_0}{c_2 M^2} \int_{\Omega} |\partial_x(\xi(\rho_\varepsilon))^M|^2 + \frac{1}{\varepsilon^4} \frac{C_0}{c_2 M^2} \int_{\Omega} |\partial_Z(\xi(\rho_\varepsilon))^M|^2 \\ & + r_0 \int_{\Omega} \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{3/2} + \frac{1}{\varepsilon^2} \frac{C_0 a \gamma}{2 N^2} \int_{\Omega} |\partial_x(\rho_\varepsilon^N)|^2 + \frac{1}{\varepsilon^4} \frac{C_0 a \gamma}{2 N^2} \int_{\Omega} |\partial_Z(\rho_\varepsilon^N)|^2 \leq |S_2^\varepsilon| \end{aligned} \quad (51)$$

where

$$S_1^\varepsilon = T_1 + \frac{1}{\varepsilon} \int_{\Omega} \mu(\rho_\varepsilon) \left( \frac{1}{\varepsilon} \partial_Z v_\varepsilon + \varepsilon \partial_x w_\varepsilon \right) \partial_z \tilde{v}_\varepsilon + r_0 \int_{\Omega} \rho_\varepsilon \tilde{v}_\varepsilon v_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{1/2},$$

$$\text{with } T_1 = \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x v_\varepsilon \tilde{v}_\varepsilon + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon \tilde{v}_\varepsilon,$$

$$S_2^\varepsilon = S_1^\varepsilon + 2 \int_{\Omega} \partial_x \mu(\rho_\varepsilon) w_\varepsilon \partial_Z \tilde{v}_\varepsilon + 2 r_0 \int_{\Omega} (v_\varepsilon \partial_x \mu(\rho_\varepsilon) + w_\varepsilon \partial_Z \mu(\rho_\varepsilon)) (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{1/2}.$$

#### Proof of the energy estimate (50)

We first note that the function  $\tilde{\mathbf{u}}_\varepsilon$  permits to get homogeneous Dirichlet boundary conditions on the corrected velocity  $\mathbf{u}_\varepsilon - \tilde{\mathbf{u}}_\varepsilon$ . To get a first energy identity, we sum equation (42) multiplied by the new

horizontal velocity  $v_\varepsilon - \tilde{v}_\varepsilon$  and equation (43) multiplied by  $\varepsilon(w_\varepsilon - \tilde{w}_\varepsilon) = \varepsilon w_\varepsilon$ . We obtain

$$\begin{aligned}
& \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x v_\varepsilon (v_\varepsilon - \tilde{v}_\varepsilon) + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon (v_\varepsilon - \tilde{v}_\varepsilon) + \varepsilon^2 \int_{\Omega} \rho_\varepsilon v_\varepsilon w_\varepsilon \partial_x w_\varepsilon + \varepsilon^2 \int_{\Omega} \rho_\varepsilon w_\varepsilon^2 \partial_Z w_\varepsilon \\
&= 2 \int_{\Omega} \partial_x (\mu(\rho_\varepsilon) \partial_x v_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon) + \frac{1}{\varepsilon^2} \int_{\Omega} \partial_Z (\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon) + \int_{\Omega} \partial_Z (\mu(\rho_\varepsilon) \partial_x w_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon) \\
&\quad + \int_{\Omega} \partial_x (\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) w_\varepsilon + \varepsilon^2 \int_{\Omega} \partial_x (\mu(\rho_\varepsilon) \partial_x w_\varepsilon) w_\varepsilon + 2 \int_{\Omega} \partial_Z (\mu(\rho_\varepsilon) \partial_Z w_\varepsilon) w_\varepsilon \\
&\quad + \int_{\Omega} \partial_x (\lambda(\rho_\varepsilon) (\partial_x v_\varepsilon + \partial_Z w_\varepsilon)) (v_\varepsilon - \tilde{v}_\varepsilon) + \int_{\Omega} \partial_Z (\lambda(\rho_\varepsilon) (\partial_x v_\varepsilon + \partial_Z w_\varepsilon)) w_\varepsilon \\
&\quad - \frac{1}{\varepsilon^2} \int_{\Omega} \partial_x P(\rho_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon) - \frac{1}{\varepsilon^2} \int_{\Omega} \partial_Z P(\rho_\varepsilon) w_\varepsilon \\
&\quad - r_0 \int_{\Omega} \rho_\varepsilon |v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2|^{\frac{1}{2}} v_\varepsilon (v_\varepsilon - \tilde{v}_\varepsilon) - r_0 \varepsilon^2 \int_{\Omega} \rho_\varepsilon |v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2|^{\frac{1}{2}} w_\varepsilon^2.
\end{aligned} \tag{52}$$

By the complexity of this equation, we will deal with these terms by group. The terms of the first line (left hand side of the equation) are known as convection terms. Those of the three following lines will be called viscous terms. The fifth line corresponds to the terms of pressure whereas the last line contains the friction ones.

**Convection terms** - We first use integrations by parts (without boundary terms thanks to the  $x$ -periodicity and the boundary conditions for  $w_\varepsilon$ ) and the divergence free conditions:

$$\int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x v_\varepsilon v_\varepsilon + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon v_\varepsilon = - \int_{\Omega} \rho_\varepsilon v_\varepsilon v_\varepsilon \partial_x v_\varepsilon - \int_{\Omega} \rho_\varepsilon w_\varepsilon v_\varepsilon \partial_Z v_\varepsilon.$$

This contribution is zero (since it equals to its opposite). In the same way we have

$$\varepsilon^2 \int_{\Omega} \rho_\varepsilon v_\varepsilon w_\varepsilon \partial_x w_\varepsilon + \varepsilon^2 \int_{\Omega} \rho_\varepsilon w_\varepsilon^2 \partial_Z w_\varepsilon = 0.$$

Finally, all the terms of the left hand side of (52) disappear except those containing  $\tilde{v}_\varepsilon$ , denoted  $T_1$ :

$$T_1 = \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x v_\varepsilon \tilde{v}_\varepsilon + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon \tilde{v}_\varepsilon.$$

**Viscous terms** - These terms are easily computed using integrations by parts. In any integration by parts, no boundary integral term appear thanks to the vertical correction induced by  $\tilde{u}_\varepsilon$  and the periodicity in  $x$ .

**Pressure terms** - We also remark that, using  $\operatorname{div}(\rho_\varepsilon \mathbf{u}_\varepsilon) = \partial_x(\rho_\varepsilon v_\varepsilon) + \partial_Z(\rho_\varepsilon w_\varepsilon) = 0$  and  $\partial_x \tilde{v}_\varepsilon = 0$ , the pressure contributions vanish. In fact, noting  $\Pi'(\rho_\varepsilon) = \frac{P'(\rho_\varepsilon)}{\rho_\varepsilon}$ , we have

$$\int_{\Omega} \partial_x P(\rho_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon) + \int_{\Omega} \partial_Z P(\rho_\varepsilon) w_\varepsilon = - \int_{\Omega} \Pi(\rho_\varepsilon) (\partial_x(\rho_\varepsilon v_\varepsilon) + \partial_Z(\rho_\varepsilon w_\varepsilon)) - \int_{\Omega} P(\rho_\varepsilon) \partial_x \tilde{v}_\varepsilon = 0.$$

**Friction terms** - Clearly, the friction terms (that is the terms containing the friction coefficient  $r_0$ ) appearing in estimate (52) write

$$-r_0 \int_{\Omega} \rho_\varepsilon |v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2|^{\frac{3}{2}} + r_0 \int_{\Omega} \rho_\varepsilon |v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2|^{\frac{1}{2}} v_\varepsilon \tilde{v}_\varepsilon.$$

All these calculations give the first identity of Lemma 5.1.

**Proof of the energy estimate (51)**

Referring to Section 2, we can also write another equality related to the BD entropy. To get this BD formula, we sum equation (44) multiplied by  $v_\varepsilon - \tilde{v}_\varepsilon + V_\varepsilon$  and equation (45) multiplied by  $w_\varepsilon - \tilde{w}_\varepsilon + W_\varepsilon$  (for information, recall that  $V_\varepsilon = \frac{2\partial_x \mu(\rho_\varepsilon)}{\rho_\varepsilon}$  and  $W_\varepsilon = \frac{2\partial_Z \mu(\rho_\varepsilon)}{\varepsilon \rho_\varepsilon}$ ). We obtain

$$\begin{aligned}
& \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x (v_\varepsilon + V_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon + V_\varepsilon) + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z (v_\varepsilon + V_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon + V_\varepsilon) \\
& + \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x (\varepsilon w_\varepsilon + W_\varepsilon) (\varepsilon w_\varepsilon + W_\varepsilon) + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z (\varepsilon w_\varepsilon + W_\varepsilon) (\varepsilon w_\varepsilon + W_\varepsilon) \\
& = \int_{\Omega} \partial_Z (\mu(\rho_\varepsilon) \partial_x w_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon + V_\varepsilon) - \frac{1}{\varepsilon^2} \int_{\Omega} \partial_Z (\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon + V_\varepsilon) \\
& \quad - \varepsilon \int_{\Omega} \partial_x (\mu(\rho_\varepsilon) \partial_x w_\varepsilon) (\varepsilon w_\varepsilon + W_\varepsilon) + \frac{1}{\varepsilon} \int_{\Omega} \partial_x (\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) (\varepsilon w_\varepsilon + W_\varepsilon) \\
& \quad - \frac{1}{\varepsilon^2} \int_{\Omega} \partial_x P(\rho_\varepsilon) (v_\varepsilon - \tilde{v}_\varepsilon + V_\varepsilon) - \frac{1}{\varepsilon^3} \int_{\Omega} \partial_Z P(\rho_\varepsilon) (\varepsilon w_\varepsilon + W_\varepsilon) \\
& \quad - r_0 \int_{\Omega} \rho_\varepsilon |v_\varepsilon|^2 + \varepsilon^2 w_\varepsilon^2|^{\frac{1}{2}} v_\varepsilon (v_\varepsilon - \tilde{v}_\varepsilon + V_\varepsilon) - r_0 \int_{\Omega} \varepsilon \rho_\varepsilon |v_\varepsilon|^2 + \varepsilon^2 w_\varepsilon^2|^{\frac{1}{2}} w_\varepsilon (\varepsilon w_\varepsilon + W_\varepsilon).
\end{aligned} \tag{53}$$

In addition to those which are common with the energy, we deal with every terms:

**Convection terms** - Let us look at the first line of the equation (53). The terms which are not already treated to obtain identity (50) are the following ones:

$$\begin{aligned}
& \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x v_\varepsilon V_\varepsilon + \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x V_\varepsilon (v_\varepsilon - \tilde{v}_\varepsilon) + \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x V_\varepsilon V_\varepsilon \\
& + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon V_\varepsilon + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z V_\varepsilon (v_\varepsilon - \tilde{v}_\varepsilon) + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z V_\varepsilon V_\varepsilon.
\end{aligned}$$

The divergence free condition  $\partial_x(\rho_\varepsilon v_\varepsilon) + \partial_Z(\rho_\varepsilon w_\varepsilon) = 0$  is also strongly used here. With the boundary conditions (periodicity with respect to  $x$  and boundary conditions on  $w$  for  $Z \in \{0, 1\}$ ) we deduce that all terms are equal to zero by integration by parts, except this one:  $\int_{\Omega} \rho_\varepsilon w_\varepsilon V_\varepsilon \partial_Z \tilde{v}_\varepsilon$ .

Since  $\rho_\varepsilon V_\varepsilon = 2\partial_x \mu(\rho_\varepsilon)$ , we write this additive term as  $2 \int_{\Omega} \partial_x \mu(\rho_\varepsilon) w_\varepsilon \partial_Z \tilde{v}_\varepsilon$ .

**Viscous terms** - The only additive terms compared the first energy identity (see equation (50)) are the following

$$\begin{aligned}
& \int_{\Omega} \partial_Z (\mu(\rho_\varepsilon) \partial_x w_\varepsilon) V_\varepsilon - \frac{1}{\varepsilon^2} \int_{\Omega} \partial_Z (\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) V_\varepsilon - \varepsilon \int_{\Omega} \partial_x (\mu(\rho_\varepsilon) \partial_x w_\varepsilon) W_\varepsilon + \frac{1}{\varepsilon} \int_{\Omega} \partial_x (\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) W_\varepsilon \\
& = \int_{\Omega} \mu(\rho_\varepsilon) \partial_x w_\varepsilon (\underbrace{\varepsilon \partial_x W_\varepsilon - \partial_Z V_\varepsilon}_{=0}) + \frac{1}{\varepsilon^2} \int_{\Omega} \mu(\rho_\varepsilon) \partial_Z v_\varepsilon (\underbrace{\partial_Z V_\varepsilon - \varepsilon \partial_x W_\varepsilon}_{=0}) = 0.
\end{aligned}$$

The equality  $\varepsilon \partial_x W_\varepsilon - \partial_Z V_\varepsilon = 0$  comes from to the following computation

$$\begin{aligned}
\varepsilon \partial_x W_\varepsilon - \partial_Z V_\varepsilon &= 2\partial_x \left( \frac{\partial_Z \mu(\rho_\varepsilon)}{\rho_\varepsilon} \right) - 2\partial_Z \left( \frac{\partial_x \mu(\rho_\varepsilon)}{\rho_\varepsilon} \right) = 2\partial_Z \mu(\rho_\varepsilon) \partial_x \left( \frac{1}{\rho_\varepsilon} \right) - 2\partial_x \mu(\rho_\varepsilon) \partial_Z \left( \frac{1}{\rho_\varepsilon} \right) \\
&= 2\mu'(\rho_\varepsilon) \left[ \partial_Z \rho_\varepsilon \partial_x \left( \frac{1}{\rho_\varepsilon} \right) - \partial_x \rho_\varepsilon \partial_Z \left( \frac{1}{\rho_\varepsilon} \right) \right] = -\frac{2\mu'(\rho_\varepsilon)}{\rho_\varepsilon^2} \left[ \partial_Z \rho_\varepsilon \partial_x \rho_\varepsilon - \partial_x \rho_\varepsilon \partial_Z \rho_\varepsilon \right] = 0.
\end{aligned}$$

**Pressure terms** - For the pressure terms, we can rewrite what we wrote for the energy, say, the tests against  $\mathbf{u}_\varepsilon - \tilde{\mathbf{u}}_\varepsilon$  are equal to zero thanks to (41) and  $\partial_x v_\varepsilon = 0$ . The remaining part of the pressure

contributions have been discussed and expressed in (18), let's recall it here:

$$\begin{aligned}
& \frac{1}{\varepsilon^2} \int_{\Omega} \partial_x P(\rho_\varepsilon) V_\varepsilon + \frac{1}{\varepsilon^3} \int_{\Omega} \partial_Z P(\rho_\varepsilon) W_\varepsilon \\
&= \frac{2}{\varepsilon^2} \int_{\Omega} \partial_x (p_h(\rho_\varepsilon) + p_c(\rho_\varepsilon)) \frac{\partial_x \mu(\rho_\varepsilon)}{\rho_\varepsilon} + \frac{2}{\varepsilon^4} \int_{\Omega} \partial_Z (p_h(\rho_\varepsilon) + p_c(\rho_\varepsilon)) \frac{\partial_Z \mu(\rho_\varepsilon)}{\rho_\varepsilon} \\
&\geq \frac{1}{\varepsilon^2} \frac{c'_0}{c_2 M^2} \int_{\Omega} |\partial_x (\xi(\rho_\varepsilon))^M|^2 + \frac{1}{\varepsilon^4} \frac{c'_0}{c_2 M^2} \int_{\Omega} |\partial_Z (\xi(\rho_\varepsilon))^M|^2 \\
&\quad + \frac{1}{\varepsilon^2} \frac{C_0 a \gamma}{2N^2} \int_{\Omega} |\partial_x (\rho_\varepsilon^N)|^2 + \frac{1}{\varepsilon^4} \frac{C_0 a \gamma}{2N^2} \int_{\Omega} |\partial_Z (\rho_\varepsilon^N)|^2.
\end{aligned}$$

**Friction terms -** Let's now deal with the friction terms, in addition to those which are common with the energy, we have to say some words about the ones which are specific for the BD formula. Using the definition of  $V_\varepsilon$  and  $W_\varepsilon$ , these additive terms are written

$$r_0 \int_{\Omega} \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{1/2} (v_\varepsilon V_\varepsilon + \varepsilon w_\varepsilon W_\varepsilon) = 2 r_0 \int_{\Omega} (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{1/2} (v_\varepsilon \partial_x \mu(\rho_\varepsilon) + w_\varepsilon \partial_Z \mu(\rho_\varepsilon)).$$

Putting all these inequalities together, we obtain (51).  $\square$

The left hand sides of the estimates (50) and (51) make appear the  $L^1(\Omega)$ -norm of the following terms

$$\begin{aligned}
& \mu_\varepsilon |\partial_x v_\varepsilon|^2, \quad \mu_\varepsilon |\partial_Z w_\varepsilon|^2, \quad \varepsilon^2 \mu_\varepsilon |\partial_x w_\varepsilon|^2, \quad \frac{\mu_\varepsilon}{\varepsilon^2} |\partial_Z v_\varepsilon|^2, \quad \lambda_\varepsilon |\partial_x v_\varepsilon|^2, \quad \lambda_\varepsilon |\partial_Z w_\varepsilon|^2, \quad r_0 \rho_\varepsilon |v_\varepsilon|^3, \quad \varepsilon^3 r_0 \rho_\varepsilon |w_\varepsilon|^3, \\
& \varepsilon^2 \mu_\varepsilon |\partial_x w_\varepsilon|^2, \quad \frac{\mu_\varepsilon}{\varepsilon^2} |\partial_Z v_\varepsilon|^2, \quad \frac{1}{\varepsilon^2} |\partial_x (\xi_\varepsilon^M)|^2, \quad \frac{1}{\varepsilon^4} |\partial_Z (\xi_\varepsilon^M)|^2, \quad \frac{1}{\varepsilon^2} |\partial_x (\rho_\varepsilon^N)|^2 \quad \text{and} \quad \frac{1}{\varepsilon^4} |\partial_Z (\rho_\varepsilon^N)|^2,
\end{aligned}$$

where we have noted  $\mu_\varepsilon = \mu(\rho_\varepsilon)$ ,  $\lambda_\varepsilon = \lambda(\rho_\varepsilon)$  and  $\xi_\varepsilon = \xi(\rho_\varepsilon)$ . We now prove that the right hand sides of the estimates (50) and (51), that is the quantities  $S_1^\varepsilon$  and  $S_2^\varepsilon$ , can be controlled by such terms.

**Control of  $S_1^\varepsilon$  -** From the definition of  $S_1^\varepsilon$ , we express  $S_1^\varepsilon$  as follows:  $S_1^\varepsilon = T_1 + T_2 + T_3$ . The contribution  $T_1$  is written<sup>3</sup>

$$\begin{aligned}
T_1 &= \int_{\Omega} \rho_\varepsilon v_\varepsilon \partial_x v_\varepsilon \tilde{v}_\varepsilon + \int_{\Omega} \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon \tilde{v}_\varepsilon \\
&= \int_{\Omega} (\rho_\varepsilon^{1/3} v_\varepsilon) (\rho_\varepsilon^{m/2} \partial_x v_\varepsilon) (\rho_\varepsilon^{(4-3m)/6} \tilde{v}_\varepsilon) + \int_{\Omega} (\rho_\varepsilon^{1/3} \varepsilon w_\varepsilon) \left( \frac{\rho_\varepsilon^{m/2} \partial_Z v_\varepsilon}{\varepsilon} \right) (\rho_\varepsilon^{(4-3m)/6} \tilde{v}_\varepsilon) \\
&\leq \frac{r_0}{4} \int_{\Omega} \rho_\varepsilon v_\varepsilon^3 + \delta \int_{\Omega} \rho_\varepsilon^m |\partial_x v_\varepsilon|^2 + \frac{C}{r_0^2 \delta^3} |\tilde{v}_\varepsilon|_\infty^6 \int_{\Omega} \rho_\varepsilon^{4-3m} \\
&\quad + \frac{r_0 \varepsilon^3}{4} \int_{\Omega} \rho_\varepsilon w_\varepsilon^3 + \frac{\delta}{\varepsilon^2} \int_{\Omega} \rho_\varepsilon^m |\partial_Z v_\varepsilon|^2 + \frac{C}{r_0^2 \delta^3} |\tilde{v}_\varepsilon|_\infty^6 \int_{\Omega} \rho_\varepsilon^{4-3m},
\end{aligned}$$

In this last inequality,  $\delta$  can be choosen as small as possible (due to the Young inequality). Moreover the constant  $C$  does not depend on the physical constants  $\varepsilon$ ,  $r_0$ ,  $c_1$ ... nor  $\delta$ . The term  $\delta \int_{\Omega} \rho_\varepsilon^m |\partial_x v_\varepsilon|^2$  is bounded using the assumptions (9) on  $\mu$  as follows:

$$\begin{aligned}
\delta \int_{\Omega} \rho_\varepsilon^m |\partial_x v_\varepsilon|^2 &= \delta \int_{\Omega} \rho_\varepsilon^m |\partial_x v_\varepsilon|^2 \mathbb{1}_{\rho > A} + \delta \int_{\Omega} \rho_\varepsilon^m |\partial_x v_\varepsilon|^2 \mathbb{1}_{\rho < A} \\
&\leq \frac{\delta}{c_1} \int_{\Omega} \mu(\rho_\varepsilon) |\partial_x v_\varepsilon|^2 \mathbb{1}_{\rho > A} + \frac{\delta}{A^{m-n}} \int_{\Omega} \rho_\varepsilon^n |\partial_x v_\varepsilon|^2 \mathbb{1}_{\rho < A} \\
&\leq \frac{\delta}{c_1} \int_{\Omega} \mu(\rho_\varepsilon) |\partial_x v_\varepsilon|^2 \mathbb{1}_{\rho > A} + \frac{\delta}{c_0 A^{m-n}} \int_{\Omega} \mu(\rho_\varepsilon) |\partial_x v_\varepsilon|^2 \mathbb{1}_{\rho < A}
\end{aligned}$$

<sup>3</sup>Note that this term is not treated as the corresponding one in the proof of Theorem 1.4. In the proof of Theorem 1.4, we write  $T_1 = - \int_{\Omega} \rho_\varepsilon v_\varepsilon w_\varepsilon \partial_Z \tilde{v}_\varepsilon$ . This is correct but inappropriate in our situation. In fact, we want to obtain estimates with respect to the parameter  $\varepsilon$  and we have no control on  $w_\varepsilon$ , but only on  $\varepsilon w_\varepsilon$  and on  $\frac{1}{\varepsilon} \partial_Z v_\varepsilon$ .

Taking  $\delta = \min\{\frac{c_1}{2}, \frac{c_0 A^{m-n}}{2}\}$  we obtain

$$\delta \int_{\Omega} \rho_{\varepsilon}^m |\partial_x v_{\varepsilon}|^2 \leq \frac{1}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x v_{\varepsilon}|^2.$$

In the same way, we estimate  $\frac{\delta}{\varepsilon^2} \int_{\Omega} \rho_{\varepsilon}^m |\partial_Z v_{\varepsilon}|^2$  and we obtain (note also that  $|\tilde{v}_{\varepsilon}|_{\infty}$  is bounded by 1)

$$T_1 \leq \frac{r_0}{4} \int_{\Omega} \rho_{\varepsilon} v_{\varepsilon}^3 + \frac{1}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x v_{\varepsilon}|^2 + \frac{1}{2\varepsilon^2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_Z v_{\varepsilon}|^2 + \frac{r_0 \varepsilon^3}{4} \int_{\Omega} \rho_{\varepsilon} w_{\varepsilon}^3 + \frac{C}{r_0^2 \delta^3} \int_{\Omega} \rho_{\varepsilon}^{4-3m}.$$

Since  $|\partial_Z \tilde{v}_{\varepsilon}| \leq V$ , the contribution  $T_2$  is controlled as follows

$$\begin{aligned} T_2 &= \frac{1}{\varepsilon} \int_{\Omega} \mu(\rho_{\varepsilon}) \left( \frac{1}{\varepsilon} \partial_Z v_{\varepsilon} + \varepsilon \partial_x w_{\varepsilon} \right) \partial_z \tilde{v}_{\varepsilon} \\ &\leq \frac{V}{\varepsilon} \int_{\Omega} \mu(\rho_{\varepsilon}) \left| \frac{1}{\varepsilon} \partial_Z v_{\varepsilon} + \varepsilon \partial_x w_{\varepsilon} \right| \\ &\leq \frac{1}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) \left| \frac{1}{\varepsilon} \partial_Z v_{\varepsilon} + \varepsilon \partial_x w_{\varepsilon} \right|^2 + \frac{V^2}{2\varepsilon^2} \int_{\Omega} \mu(\rho_{\varepsilon}). \end{aligned}$$

Using assumptions (8) and (9), we deduce that

$$T_2 \leq \frac{1}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) \left| \frac{1}{\varepsilon} \partial_Z v_{\varepsilon} + \varepsilon \partial_x w_{\varepsilon} \right|^2 + \frac{V^2}{2c_0 \varepsilon^2} + \frac{V^2}{2c_1 \varepsilon^2} \int_{\Omega} \rho_{\varepsilon}^m.$$

The term  $T_3$  is treated using the Young inequality (in the following form:  $AB^2 \leq \delta AB^3 + \frac{4}{27\delta^2} A$ , for all  $\delta > 0$ ). We obtain

$$\begin{aligned} T_3 &= r_0 \int_{\Omega} \rho_{\varepsilon} \tilde{v}_{\varepsilon} v_{\varepsilon} (v_{\varepsilon}^2 + \varepsilon^2 w_{\varepsilon}^2)^{1/2} \\ &\leq r_0 \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}|^2 + r_0 \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}| |\varepsilon w_{\varepsilon}| \\ &\leq \frac{3r_0}{2} \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}|^2 + \frac{r_0}{2} \int_{\Omega} \rho_{\varepsilon} |\varepsilon w_{\varepsilon}|^2 \\ &\leq \frac{r_0}{4} \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}|^3 + \frac{r_0 \varepsilon^3}{4} \int_{\Omega} \rho_{\varepsilon} |w_{\varepsilon}|^3 + C r_0 \int_{\Omega} \rho_{\varepsilon}. \end{aligned}$$

Here, the constant  $C$  does not depend on  $\varepsilon$  nor on  $r_0$ .

**Control of  $S_2^{\varepsilon}$**  - From the definition of  $S_2^{\varepsilon}$ , we write  $S_2^{\varepsilon}$  as follows:  $S_2^{\varepsilon} = S_1^{\varepsilon} + T_4 + T_5$ .

Using an integration by part and  $|\partial_Z \tilde{v}_{\varepsilon}| \leq V$ , the contribution  $T_4$  is controlled by

$$T_4 \leq 2V \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x w_{\varepsilon}| \leq \frac{2V^2}{\varepsilon^2} \int_{\Omega} \mu(\rho_{\varepsilon}) + \frac{\varepsilon^2}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x w_{\varepsilon}|^2.$$

Using assumptions (8) and (9), we deduce that

$$T_4 \leq \frac{\varepsilon^2}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x w_{\varepsilon}|^2 + \frac{2V^2}{c_0 \varepsilon^2} + \frac{2V^2}{c_1 \varepsilon^2} \int_{\Omega} \rho_{\varepsilon}^m.$$

Finally, the term  $T_5$  is written

$$T_5 = 2r_0 \int_{\Omega} (v_{\varepsilon} \partial_x \mu(\rho_{\varepsilon}) + w_{\varepsilon} \partial_Z \mu(\rho_{\varepsilon})) (v_{\varepsilon}^2 + \varepsilon^2 w_{\varepsilon}^2)^{1/2}.$$

For sake of simplicity, we only treat one example of this contribution (more precisely, the term  $2r_0 \int_{\Omega} v_{\varepsilon}^2 \partial_x \mu(\rho_{\varepsilon})$ ), the other terms are similar. We have

$$\begin{aligned} 2r_0 \int_{\Omega} v_{\varepsilon}^2 \partial_x \mu(\rho_{\varepsilon}) &= -4r_0 \int_{\Omega} \mu(\rho_{\varepsilon}) v_{\varepsilon} \partial_x v_{\varepsilon} \leq \frac{1}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x v_{\varepsilon}|^2 + 8r_0^2 \int_{\Omega} \mu(\rho_{\varepsilon}) |v_{\varepsilon}|^2 \\ &\leq \frac{1}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x v_{\varepsilon}|^2 + 8r_0^2 \int_{\Omega} \mu(\rho_{\varepsilon}) |v_{\varepsilon}|^2 \mathbf{1}_{\rho < A} + 8r_0^2 \int_{\Omega} \mu(\rho_{\varepsilon}) |v_{\varepsilon}|^2 \mathbf{1}_{\rho > A}. \end{aligned}$$

Using successively the assumption (8), the fact that  $n > 2/3$  and the Young inequality we obtain

$$8r_0^2 \int_{\Omega} \mu(\rho_{\varepsilon}) |v_{\varepsilon}|^2 \mathbb{1}_{\rho < A} \leq \frac{8r_0^2}{c_0} \int_{\Omega} \rho^n |v_{\varepsilon}|^2 \mathbb{1}_{\rho < A} \leq \frac{8r_0^2 A^{n-\frac{2}{3}}}{c_0} \int_{\Omega} \rho^{2/3} |v_{\varepsilon}|^2 \leq \frac{r_0}{4} \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}|^3 + \frac{C r_0^4 A^{3n-2}}{c_0^3}.$$

In the same way, we use the assumption (9) and the Young inequality to obtain

$$8r_0^2 \int_{\Omega} \mu(\rho_{\varepsilon}) |v_{\varepsilon}|^2 \mathbb{1}_{\rho > A} \leq \frac{8r_0^2}{c_1} \int_{\Omega} \rho^m |v_{\varepsilon}|^2 \leq \frac{r_0}{4} \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}|^3 + \frac{C r_0^4}{c_1^3} \int_{\Omega} \rho_{\varepsilon}^{3m-2}.$$

Here again, the constant  $C$  does not depend on  $\varepsilon$ ,  $r_0$ ,  $c_0$  or  $c_1$ .

Finally, the term  $T_5$  is controlled by terms like

$$T_5 \leq \frac{1}{2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x v_{\varepsilon}|^2 + \frac{r_0}{4} \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}|^3 + \frac{C r_0^4}{c_1^3} \int_{\Omega} \rho_{\varepsilon}^{3m-2} + \frac{C r_0^4 A^{3n-2}}{c_0^3}.$$

**Estimates -** The result of Lemma 5.1 and the control of the terms  $S_1^{\varepsilon}$  and  $S_2^{\varepsilon}$  allow to obtain the following estimates (note that in this estimate and in the following ones, the only constant that we will reveal will be  $\varepsilon$ , the others will be taken equal to 1 to simplify calculations).

$$\begin{aligned} & \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x v_{\varepsilon}|^2 + \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_Z w_{\varepsilon}|^2 + \varepsilon^2 \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x w_{\varepsilon}|^2 + \frac{1}{\varepsilon^2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_Z v_{\varepsilon}|^2 + \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}|^3 \\ & + \varepsilon^3 \int_{\Omega} \rho_{\varepsilon} |w_{\varepsilon}|^3 + \frac{1}{\varepsilon^2} \int_{\Omega} |\partial_x(\xi_{\varepsilon}^M)|^2 + \frac{1}{\varepsilon^4} \int_{\Omega} |\partial_Z(\xi_{\varepsilon}^M)|^2 + \frac{1}{\varepsilon^2} \int_{\Omega} |\partial_x(\rho_{\varepsilon}^N)|^2 + \frac{1}{\varepsilon^4} \int_{\Omega} |\partial_Z(\rho_{\varepsilon}^N)|^2 \quad (54) \\ & \leq \int_{\Omega} \rho_{\varepsilon}^{4-3m} + \frac{1}{\varepsilon^2} + \frac{1}{\varepsilon^2} \int_{\Omega} \rho_{\varepsilon}^m + \int_{\Omega} \rho_{\varepsilon} + \int_{\Omega} \rho_{\varepsilon}^{3m-2} + 1. \end{aligned}$$

The right hand side of the estimate (54) make appear different powers of  $\rho_{\varepsilon}$ . We must show that all these terms can be absorbed by some left hand side terms, taking  $\varepsilon$  small enough.

Since  $m > 1$ , we have  $3m - 2 > m > 1$  and  $3m - 2 > 4 - 3m$ . So, we must control the term  $\int_{\Omega} \rho_{\varepsilon}^{3m-2}$  and the term  $\int_{\Omega} \rho_{\varepsilon}^{4-3m}$  when  $4 - 3m < 0$ .

- With the Poincaré inequality, a control on  $|\nabla(\rho_{\varepsilon}^N)|_{L^2(\Omega)}$  implies a control on  $|\rho_{\varepsilon}^N|_{H^1(\Omega)}$ . Due to the Sobolev embeddings, this allows to control  $|\rho_{\varepsilon}^N|_{L^q(\Omega)}$  for all  $q \geq \frac{2d}{d-2}$ .

Consequently, if

$$3m - 2 \leq qN \quad (\widetilde{C1})$$

then the term  $\int_{\Omega} \rho_{\varepsilon}^{3m-2}$  can be absorbed by the terms  $\frac{1}{\varepsilon^4} \int_{\Omega} |\partial_Z(\rho_{\varepsilon}^N)|^2$  and  $\frac{1}{\varepsilon^2} \int_{\Omega} |\partial_x(\rho_{\varepsilon}^N)|^2$  as soon as  $\varepsilon$  is small enough<sup>4</sup>.

- Using the same arguments, from the definition of the function  $\xi$ , the term  $\int_{\Omega} |\partial_Z(\xi_{\varepsilon}^M)|^2$  allows to control  $\int_{\Omega} \rho_{\varepsilon}^{qM}$ , and recalling that  $M < 0$  we deduce a control of  $1/\rho_{\varepsilon}$  in  $L^{-qM}(\Omega)$ . Consequently, when  $4 - 3m < 0$  we can absorb the term  $\int_{\Omega} \rho_{\varepsilon}^{4-3m}$  by the term  $\frac{1}{\varepsilon^4} \int_{\Omega} |\partial_Z(\xi_{\varepsilon}^M)|^2$  as soon as  $\varepsilon$  is small enough, under the condition

$$qM \leq 4 - 3m. \quad (C3)$$

Finally, under the assumptions  $3m - 2 \leq qN$  and  $qM \leq 4 - 3m$  we obtain for  $\varepsilon$  small enough:

$$\begin{aligned} & \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x v_{\varepsilon}|^2 + \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_Z w_{\varepsilon}|^2 + \varepsilon^2 \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_x w_{\varepsilon}|^2 + \frac{1}{\varepsilon^2} \int_{\Omega} \mu(\rho_{\varepsilon}) |\partial_Z v_{\varepsilon}|^2 + \int_{\Omega} \rho_{\varepsilon} |v_{\varepsilon}|^3 \\ & + \varepsilon^3 \int_{\Omega} \rho_{\varepsilon} |w_{\varepsilon}|^3 + \frac{1}{\varepsilon^2} \int_{\Omega} |\partial_x(\xi(\rho_{\varepsilon})^M)|^2 + \frac{1}{\varepsilon^4} \int_{\Omega} |\partial_Z(\xi(\rho_{\varepsilon})^M)|^2 + \frac{1}{\varepsilon^2} \int_{\Omega} |\partial_x(\rho_{\varepsilon}^N)|^2 + \frac{1}{\varepsilon^4} \int_{\Omega} |\partial_Z(\rho_{\varepsilon}^N)|^2 \leq \frac{1}{\varepsilon^2}. \end{aligned}$$

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<sup>4</sup>If we assume that  $3m - 2 < qN$ , that is the condition (C1), then it is possible to control  $\int_{\Omega} \rho_{\varepsilon}^{3m-2}$  without taking  $\varepsilon$  small but just using a Young inequality, see for instance the proof of Theorem 1.4 where such a method is used.

We deduce the following bounds:

$$\|\sqrt{\mu(\rho_\varepsilon)}\partial_x v_\varepsilon\|_{L^2(\Omega)} \leq 1/\varepsilon \quad (55)$$

$$\|\sqrt{\mu(\rho_\varepsilon)}\partial_Z v_\varepsilon\|_{L^2(\Omega)} \leq 1 \quad (56)$$

$$\|\sqrt{\mu(\rho_\varepsilon)}\partial_x w_\varepsilon\|_{L^2(\Omega)} \leq 1/\varepsilon^2 \quad (57)$$

$$\|\sqrt{\mu(\rho_\varepsilon)}\partial_Z w_\varepsilon\|_{L^2(\Omega)} \leq 1/\varepsilon \quad (58)$$

$$\|\partial_x(\xi(\rho_\varepsilon)^M)\|_{L^2(\Omega)} \leq 1 \quad (59)$$

$$\|\partial_Z(\xi(\rho_\varepsilon)^M)\|_{L^2(\Omega)} \leq \varepsilon \quad (60)$$

$$\|\partial_x(\rho_\varepsilon^N)\|_{L^2(\Omega)} \leq 1 \quad (61)$$

$$\|\partial_Z(\rho_\varepsilon^N)\|_{L^2(\Omega)} \leq \varepsilon \quad (62)$$

$$\|\sqrt{\rho_\varepsilon} v_\varepsilon^{\frac{3}{2}}\|_{L^2(\Omega)} \leq 1/\varepsilon \quad (63)$$

$$\|\sqrt{\rho_\varepsilon} w_\varepsilon^{\frac{3}{2}}\|_{L^2(\Omega)} \leq 1/\varepsilon^{\frac{5}{2}} \quad (64)$$

where  $M = \frac{n-\alpha-1}{2} < 0$  and  $N = \frac{n+\gamma-1}{2} > 0$ .

## 5.2 Compactness on the density

Let us recall that, for simplicity of the notations, the preceding calculations were carried out on a two dimensional domain, i.e. on  $\Omega \subset \mathbb{R}^d$  with  $d = 2$ . Of course, the latter remains valid in higher dimension, in particular in dimension  $d = 3$ . In this paragraph, we will strongly use Sobolev injections which are depending on the dimension. In order to cover the general case  $d \in \{2, 3\}$ , we will note  $q$  any real such that  $H^1(\Omega) \subset L^q(\Omega)$  with continuous injection.

The previous estimates show that  $\rho_\varepsilon^M$  and  $\rho_\varepsilon^N$  are bounded in  $H^1(\Omega)$ . Therefore, we can write that if<sup>5</sup>  $qN \geq 1$  and  $-qM \geq 1$  then

$$\rho_\varepsilon \rightarrow \rho \text{ in } L^{qN}(\Omega), \quad (65)$$

$$\rho_\varepsilon^{-1} \rightarrow \rho^{-1} \text{ in } L^{-qM}(\Omega). \quad (66)$$

Referring to the conditions (8) and (9), we also conclude that, for all  $q$  also satisfying<sup>6</sup>  $2qN \geq m$  and  $-2qM \geq n$

$$\sqrt{\mu(\rho_\varepsilon)} \rightarrow \sqrt{\mu(\rho)} \text{ in } L^{\frac{2qN}{m}}(\Omega), \quad (67)$$

$$\frac{1}{\sqrt{\mu(\rho_\varepsilon)}} \rightarrow \frac{1}{\sqrt{\mu(\rho)}} \text{ in } L^{\frac{-2qM}{n}}(\Omega). \quad (68)$$

## 5.3 Compactness on the velocity

We know by (56) that  $\sqrt{\mu(\rho_\varepsilon)}\partial_Z v_\varepsilon$  is bounded in  $L^2(\Omega)$  and thus weakly converge in  $L^2(\Omega)$  to some  $f$ . From the identity

$$\partial_Z v_\varepsilon = \frac{1}{\sqrt{\mu(\rho_\varepsilon)}} \sqrt{\mu(\rho_\varepsilon)} \partial_Z v_\varepsilon,$$

we also conclude that  $\partial_Z v_\varepsilon$  is bounded in  $L^r(\Omega)$  with  $\frac{1}{r} = \frac{1}{2} - \frac{n}{2qM}$ .

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<sup>5</sup>Under the condition  $(\widetilde{C}1)$  and the fact that  $3m - 2 \geq 1$  for all  $m \geq 1$ , in fact we always have  $qN \geq 1$ . In the same way we will note that  $-qM \geq 1$  is satisfied in the 2-dimensional case taking  $q$  large enough and in the 3-dimensional case taking  $q = 6$  and using the assumptions given on page 3 for  $\alpha$  and  $n$ .

<sup>6</sup>As previously, these two conditions are satisfied, using the condition  $(\widetilde{C}1)$ , the fact that  $3m - 2 \geq m$  for all  $m \geq 1$  and  $\frac{-2qM}{n} \geq 2$  (since we previously prove that  $-qM \geq 1$  and since  $n < 1$ ).

We note that  $r \geq 1$  since we have previously proved that  $-qM \geq 1 > n$ . We have

$$\partial_Z v_\varepsilon \rightharpoonup \partial_Z v \text{ in } L_w^r(\Omega). \quad (69)$$

Remark that we necessarily have  $r < 2$ .

As for the derivatives with respect to  $Z$ , we know, using the bound (55) and those which come from the convergence (68), that  $\varepsilon \partial_x v_\varepsilon$  is bounded in  $L^r(\Omega)$  and thus weakly converges. Thus, using the Poincaré inequality, we also get the bound of  $v_\varepsilon$  in  $L^r(\Omega)$  and then

$$v_\varepsilon \rightharpoonup v \text{ in } L_w^r(\Omega), \quad (70)$$

$$\varepsilon v_\varepsilon \rightharpoonup 0 \text{ in } W_w^{1,r}(\Omega). \quad (71)$$

Taking the same way, we also have

$$\varepsilon w_\varepsilon \rightharpoonup w \text{ in } L_w^r(\Omega), \quad (72)$$

$$\varepsilon \partial_Z w_\varepsilon \rightharpoonup \partial_Z w \text{ in } L_w^r(\Omega), \quad (73)$$

$$\varepsilon^2 w_\varepsilon \rightharpoonup 0 \text{ in } W_w^{1,r}(\Omega). \quad (74)$$

Moreover, thanks to the compactness  $W^{1,r}(\Omega) \subset L^s(\Omega)$  for all  $s < r'$  where  $\frac{1}{r'} = \frac{1}{r} - \frac{1}{d}$  we can write

$$\varepsilon v_\varepsilon \rightarrow 0 \text{ in } L^s(\Omega), \quad \forall s < \frac{rd}{d-r}, \quad (75)$$

$$\varepsilon^2 w_\varepsilon \rightarrow 0 \text{ in } L^s(\Omega), \quad \forall s < \frac{rd}{d-r}. \quad (76)$$

## 5.4 Limit in the momentum equation

Let us rewrite the two components (41) and (43) of the momentum equation:

$$\begin{aligned} \varepsilon^2 \rho_\varepsilon v_\varepsilon \partial_x v_\varepsilon + \varepsilon^2 \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon &= 2\varepsilon^2 \partial_x (\mu(\rho_\varepsilon) \partial_x v_\varepsilon) + \boldsymbol{\partial}_Z (\boldsymbol{\mu}(\boldsymbol{\rho}_\varepsilon) \boldsymbol{\partial}_Z v_\varepsilon) + \varepsilon^2 \partial_Z (\mu(\rho_\varepsilon) \partial_x w_\varepsilon) \\ &\quad + \varepsilon^2 \partial_x (\lambda(\rho_\varepsilon) (\partial_x v_\varepsilon + \partial_Z w_\varepsilon)) - \boldsymbol{\partial}_x (\boldsymbol{P}(\boldsymbol{\rho}_\varepsilon)) + r_0 \varepsilon^2 \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{\frac{1}{2}} v_\varepsilon, \\ \varepsilon^4 \rho_\varepsilon v_\varepsilon \partial_x w_\varepsilon + \varepsilon^4 \rho_\varepsilon w_\varepsilon \partial_Z v_\varepsilon &= \varepsilon^2 \partial_x (\mu(\rho_\varepsilon) \partial_Z v_\varepsilon) + \varepsilon^4 \partial_x (\mu(\rho_\varepsilon) \partial_x w_\varepsilon) + 2\varepsilon^2 \partial_Z (\mu(\rho_\varepsilon) \partial_Z w_\varepsilon) \\ &\quad + \varepsilon^2 \partial_Z (\lambda(\rho_\varepsilon) (\partial_x v_\varepsilon + \partial_Z w_\varepsilon)) - \boldsymbol{\partial}_Z (\boldsymbol{P}(\boldsymbol{\rho}_\varepsilon)) + r_0 \varepsilon^4 \rho_\varepsilon (v_\varepsilon^2 + \varepsilon^2 w_\varepsilon^2)^{\frac{1}{2}} w_\varepsilon. \end{aligned}$$

We initially will show that the bold terms admit limits when  $\varepsilon$  tends to 0, then that all the other terms tend to zero. The method is exactly the same one as that developed in part 3.3.3. We just will specify the dependences in the parameter  $\varepsilon$ .

- For instance, putting together assumptions on the pressure (11)–(12), the estimates (59)–(62) and the strong convergences of the density (65)–(66), one obtains the convergence of  $\partial_x(P(\rho_\varepsilon))$  to  $\partial_x(P(\rho))$  and the convergence of  $\partial_Z(P(\rho_\varepsilon))$  to  $\partial_Z(P(\rho))$  in the sense of distributions on  $\Omega$ , since we introduce the same hypotheses on the coefficients, see for instance the convergence of the term  $T_1$  in subsection 3.3.3 and the assumption (C2).
- As for the term  $T_3$  in the subsection 3.3.3, under the condition (39) we have

$$\sqrt{\mu(\rho_\varepsilon)} \partial_Z v_\varepsilon \rightharpoonup \sqrt{\mu(\rho)} \partial_Z v \text{ in } L^2(\Omega). \quad (77)$$

Since  $m < qN$ , we end to the convergence:

$$\mu(\rho_\varepsilon) \partial_Z v_\varepsilon \rightarrow \mu(\rho) \partial_Z v \text{ in } \mathcal{D}'(\Omega). \quad (78)$$

We are now going to show that the other terms tend to 0 in the sense of distributions when  $\varepsilon$  goes to 0.

- As for (77) and (78), we also get, for the  $x$ -derivatives,

$$\varepsilon \sqrt{\mu(\rho_\varepsilon)} \partial_x v_\varepsilon \rightharpoonup 0 \quad \text{in } L^2(\Omega), \quad (79)$$

$$\varepsilon \mu(\rho_\varepsilon) \partial_x v_\varepsilon \rightarrow 0 \quad \text{in } \mathcal{D}'(\Omega). \quad (80)$$

Therefore, we obtain the convergence of  $2\varepsilon^2 \partial_x(\mu(\rho_\varepsilon) \partial_x v_\varepsilon) \rightarrow 0$  in  $\mathcal{D}'(\Omega)$  and also  $\varepsilon^2 \partial_x(\lambda(\rho_\varepsilon) \partial_x v_\varepsilon) \rightarrow 0$  and  $\varepsilon^2 \partial_Z(\lambda(\rho_\varepsilon) \partial_x v_\varepsilon) \rightarrow 0$  since  $\lambda(s) = 2(s\mu'(s) - \mu(s))$  satisfies the same integrabilities as  $\mu$ . Taking the same strategy as for the convergences already obtained through (77), (78), (79) and (80), we finally can give the ones of the vertical velocity  $w_\varepsilon$ :

$$\varepsilon \sqrt{\mu(\rho_\varepsilon)} \partial_Z w_\varepsilon \rightharpoonup \sqrt{\mu(\rho)} \partial_Z w \quad \text{in } L^2(\Omega), \quad (81)$$

$$\varepsilon \mu(\rho_\varepsilon) \partial_Z w_\varepsilon \rightarrow \mu(\rho) \partial_Z w \quad \text{in } \mathcal{D}'(\Omega), \quad (82)$$

$$\varepsilon^2 \sqrt{\mu(\rho_\varepsilon)} \partial_x w_\varepsilon \rightharpoonup 0 \quad \text{in } L^2(\Omega), \quad (83)$$

$$\varepsilon^2 \mu(\rho_\varepsilon) \partial_x w_\varepsilon \rightarrow 0 \quad \text{in } \mathcal{D}'(\Omega), \quad (84)$$

which answer the questions of convergence for the viscous terms containing  $w_\varepsilon$ .

- For the friction terms, we will just do it for the term  $r_0 \varepsilon^2 \rho_\varepsilon v_\varepsilon^2$  (the other terms may be treated using exactly the same way, moreover the convective terms are also treated in the same way). Using the same method as in Subsection 3.3.3, in particular when we have treated the term  $T_2$ , we have

$$r_0 \varepsilon^2 \rho_\varepsilon v_\varepsilon^2 = r_0 \varepsilon^{\frac{2}{3}} (\varepsilon^2 \rho_\varepsilon v_\varepsilon^3)^{\frac{2}{3}} \rho_\varepsilon^{\frac{1}{3}}.$$

From the strong convergence of  $\rho_\varepsilon$  and the weak convergence of  $\varepsilon^2 \rho_\varepsilon v_\varepsilon^3$  we deduce that  $r_0 \varepsilon^2 \rho_\varepsilon v_\varepsilon^2$  tends to 0. Thus, we can insure that (41)–(42) converge to (46)–(47) in the sense of distributions.

## 5.5 Limit in the mass equation

To pass to the limit  $\varepsilon \rightarrow 0$  in the mass equation  $\partial_x(\rho_\varepsilon v_\varepsilon) + \partial_Z(\rho_\varepsilon w_\varepsilon) = 0$ , the main difficulty comes from the fact that the vertical velocity  $w_\varepsilon$  does not have a limit. We thus will use the following equivalent form

$$\partial_x \left( \int_0^h \rho_\varepsilon v_\varepsilon dZ \right) = 0.$$

It is an equivalent form in the following sens: if a velocity  $v_\varepsilon$  such that  $\partial_x \left( \int_0^h \rho_\varepsilon v_\varepsilon dZ \right) = 0$  exists then we can build a vertical velocity  $w_\varepsilon$  such that  $\partial_x(\rho_\varepsilon v_\varepsilon) + \partial_Z(\rho_\varepsilon w_\varepsilon) = 0$  and  $w_\varepsilon|_{Z=0} = w_\varepsilon|_{Z=h} = 0$ .

This is enough to define  $w_\varepsilon = -\frac{1}{\rho} \int_0^Z \partial_x(\rho_\varepsilon v_\varepsilon)$ .

To prove that  $\rho_\varepsilon v_\varepsilon$  tends to  $\rho v$  we write

$$\rho_\varepsilon v_\varepsilon = (\rho_\varepsilon v_\varepsilon^3)^{\frac{1}{3}} \rho_\varepsilon^{\frac{2}{3}}.$$

Using the strong convergence of  $\rho_\varepsilon$  and the bound on  $\rho_k v_k^3$  in  $L^1(\Omega)$ , we obtain (as soon as  $qN \geq 1$ , which is implied by the condition (C1))

$$\rho_\varepsilon v_\varepsilon \rightharpoonup g \rho^{\frac{2}{3}} \quad \text{in } L^1(\Omega),$$

where  $g$  is the weak limit of  $(\rho_\varepsilon |u_\varepsilon|^3)^{\frac{1}{3}}$  in  $L^3(\Omega)$ . To identify the limit  $g$ , we use the strong convergence for the density and the weak convergence for the velocity:

$$\rho_\varepsilon^{\frac{1}{3}} \rightarrow \rho^{\frac{1}{3}} \quad \text{in } L^{3qN}(\Omega) \quad \text{and} \quad v_\varepsilon \rightharpoonup v \quad \text{in } L^r(\Omega).$$

We deduce that  $g = \rho^{\frac{1}{3}} v$  if  $\frac{1}{r} + \frac{1}{3qN} \leq 1$ , condition which is implied by the condition (39). We deduce the following convergence

$$\partial_x \left( \int_0^h \rho_\varepsilon v_\varepsilon dZ \right) \rightarrow \partial_x \left( \int_0^h \rho v dZ \right) \quad \text{in } \mathcal{D}'(\Omega).$$

## 5.6 Pressure and viscosity conditions

In addition to the conditions given in Subsection 3.4, we have to suppose that (see assumption (C3))

$$qM \leq 4 - 3m.$$

**In the two dimensional case,**  $q$  can be chosen as large as we need, thus many inequalities are satisfied and Theorem 4.1 holds only with conditions (40).

**In the three dimensional case,**  $q$  is any number smaller than 6. The additive conditions on the pressure and viscosities coefficients can be summarized by:

$$m < \alpha - n + \frac{7}{3}.$$

This condition exactly corresponds to the condition (14).

*The authors would like to thank Didier Bresch for his useful devices about that kind of studies. The first author of this work has been partially supported by the ANR project n° ANR-08-JCJC-0104-01 : RUGO (Analyse et calcul des effets de rugosités sur les écoulements).*

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